

Severe Constraints on New Physics Interpretations of the MiniBooNE Excess

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arXiv:1810.07185

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Theory Seminar — Nov 14, 2018

Zeroth Order Questions in Fundamental Physics

Neutrino Masses?

Matter Asymmetry

Inflation



Atoms
4.6%

Dark
Matter
24%

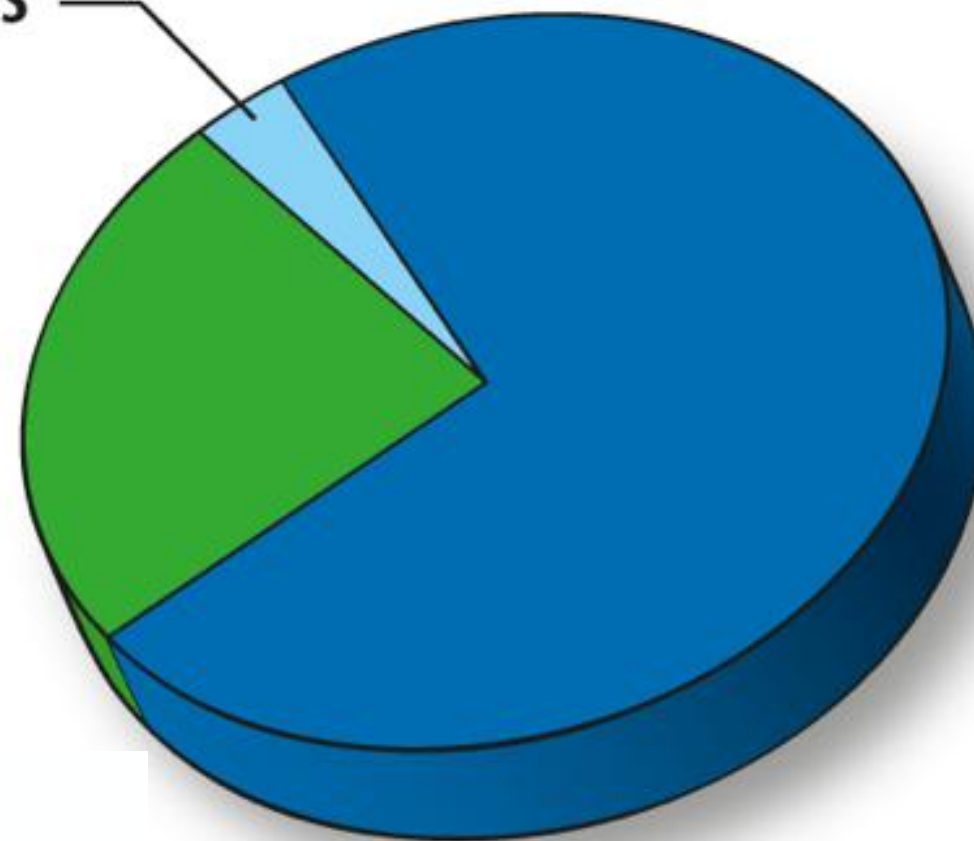
Dark
Energy
71.4%

Accelerated
Cosmic
Expansion



Quantum Gravity

DM Particle
Properties?



TODAY

Recent 2018 MiniBooNE results relevant for both DM and ν

Overview

- 1) History of the MiniBooNE Excess
- 2) Excluding Simple Models w / Kinematic Distributions
- 3) Excluding (Nearly) All Other Models w / Beam Dump Data

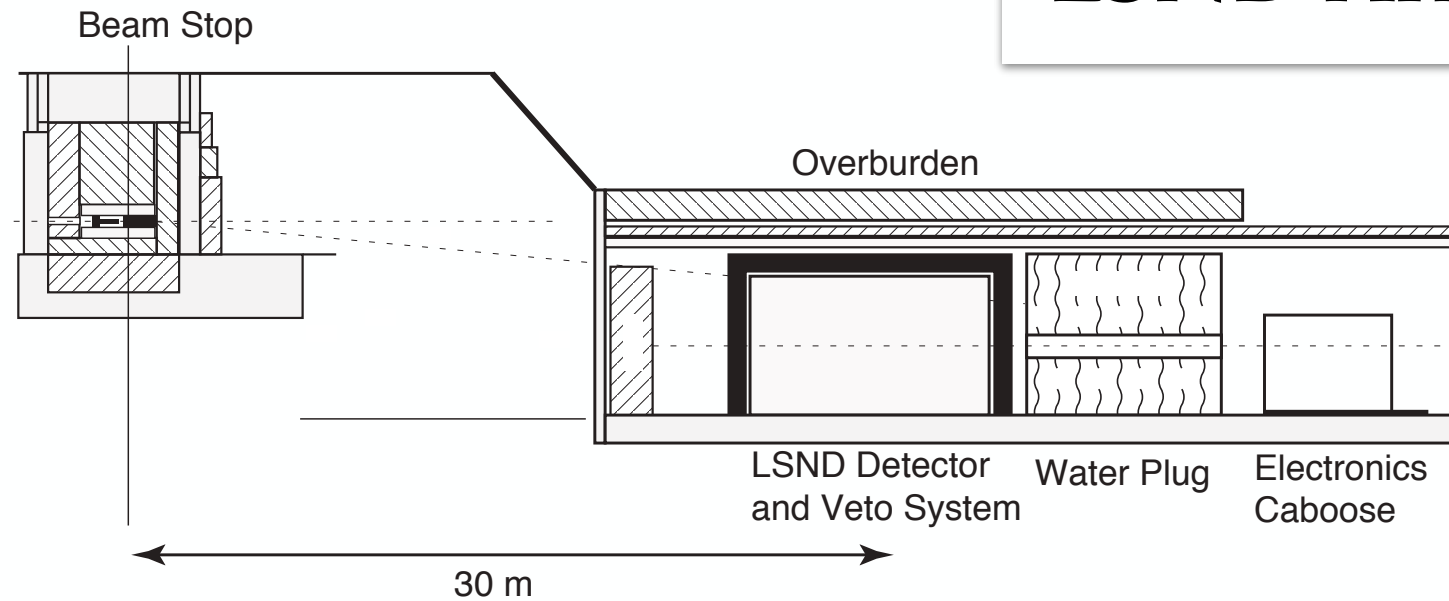
Overview

1) History of the MiniBooNE Excess

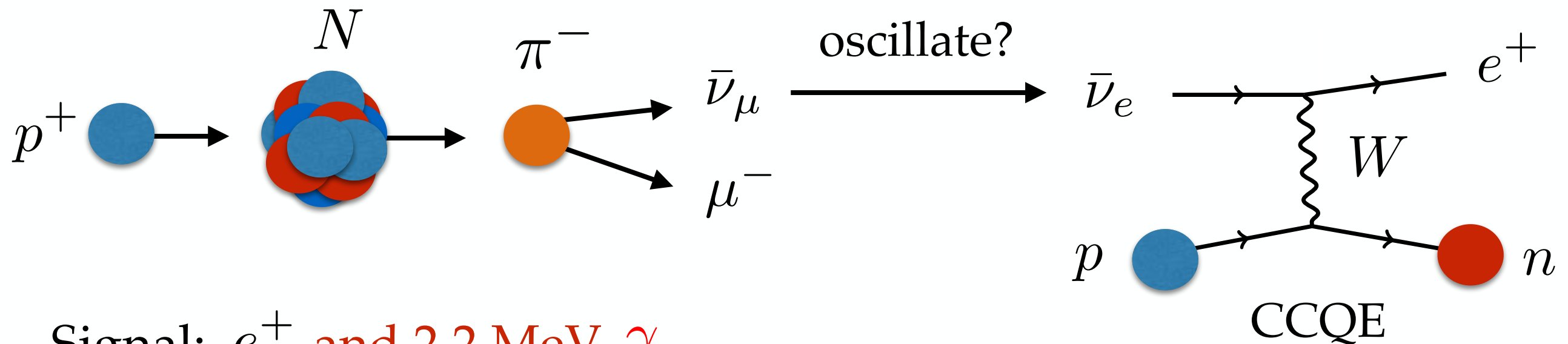
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LSND Anomaly



10^{22} POT, $E_p = 800$ MeV



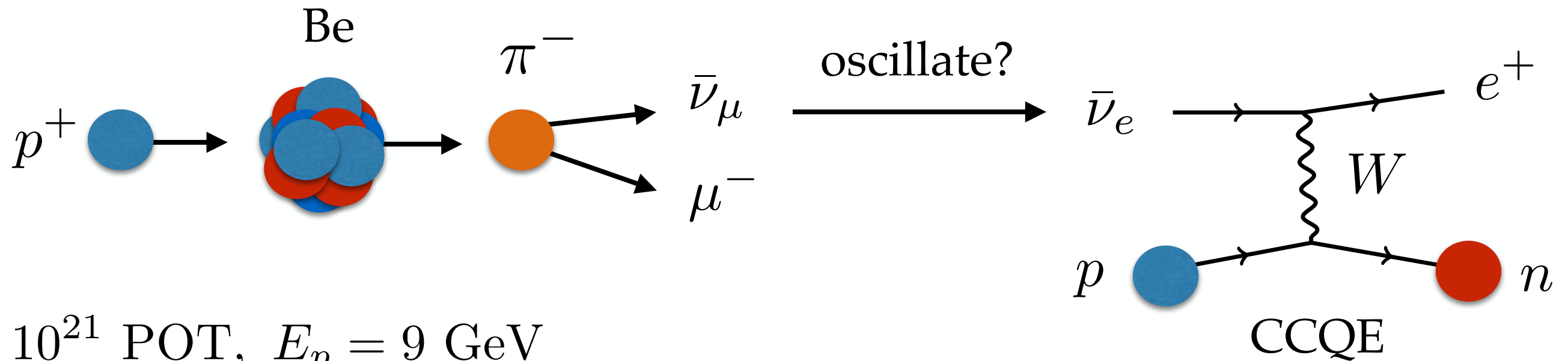
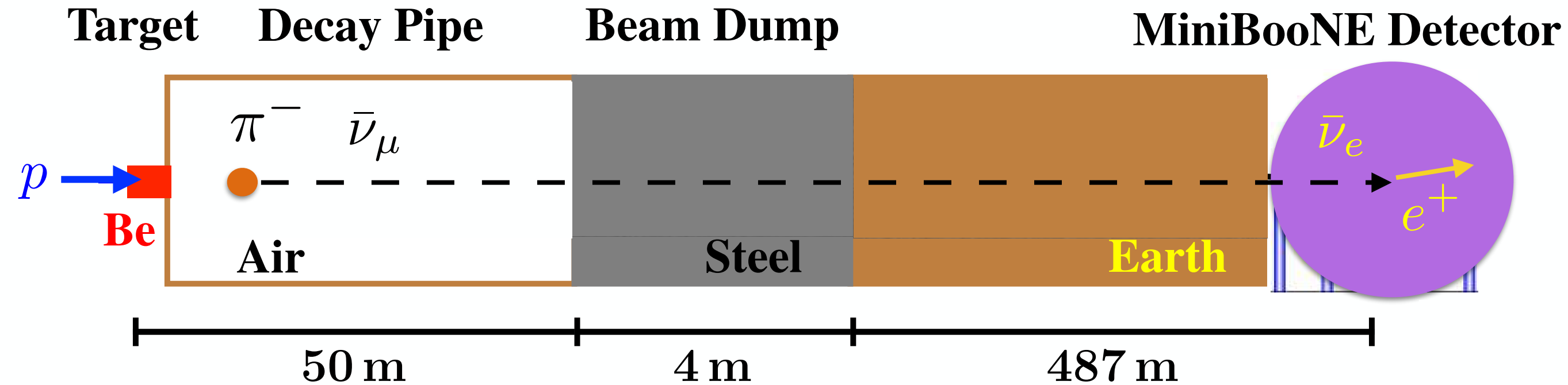
Signal: e^+ and 2.2 MeV γ
 Scatter + neutron absorption

Observed 90 events
 Expectation of 0 events
 3.8σ significance

$$P_{\text{osc}} = \sin^2 2\theta \sin^2 \left(\frac{\Delta m^2 L}{4E_\nu} \right)$$

LSND Collaboration hep-ex/0104049

MiniBooNE Experimental Setup



10^{21} POT, $E_p = 9$ GeV

Energy and baseline chosen to test LSND

Comparable oscillation probabilities

MiniBooNE Analysis Details

Luminosity	neutrino mode 12.84×10^{20} POT	antineutrino mode 11.27×10^{20} POT
Reconstructed Neutrino Energy	$200 < E_{\nu}^{QE} < 1250$ MeV	
Excess events BG subtracted	381.2 ± 85.2	79.3 ± 28.6

Possibly Important Caveat

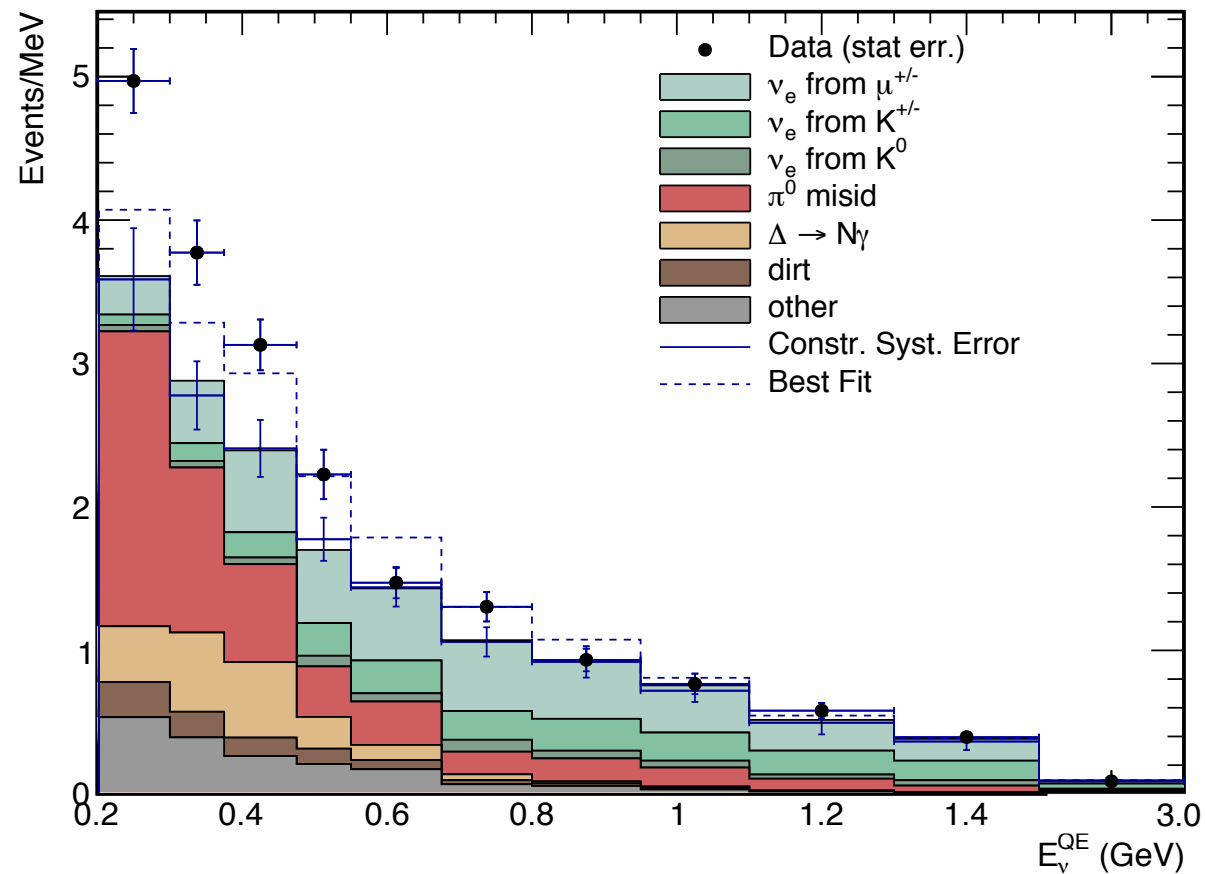
Mild tension $\sim 2+$ sigma between neutrino and antineutrino modes

Updated Neutrino Mode Analysis
MiniBooNE Collaboration 1805.12028

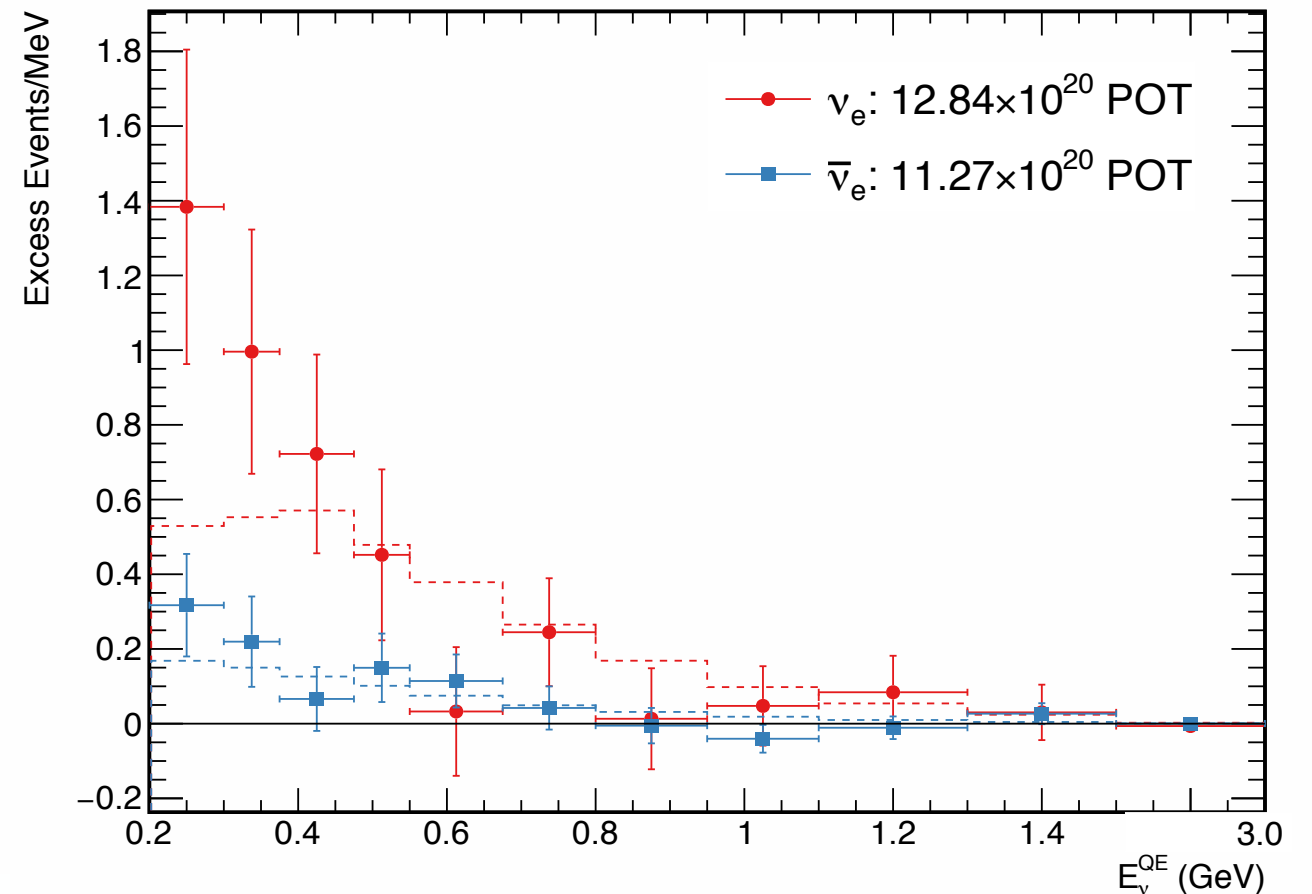
Complements earlier antineutrino
results collected 2002-2010

MiniBooNE Anomaly

Neutrino mode only



Both excesses, BG subtracted



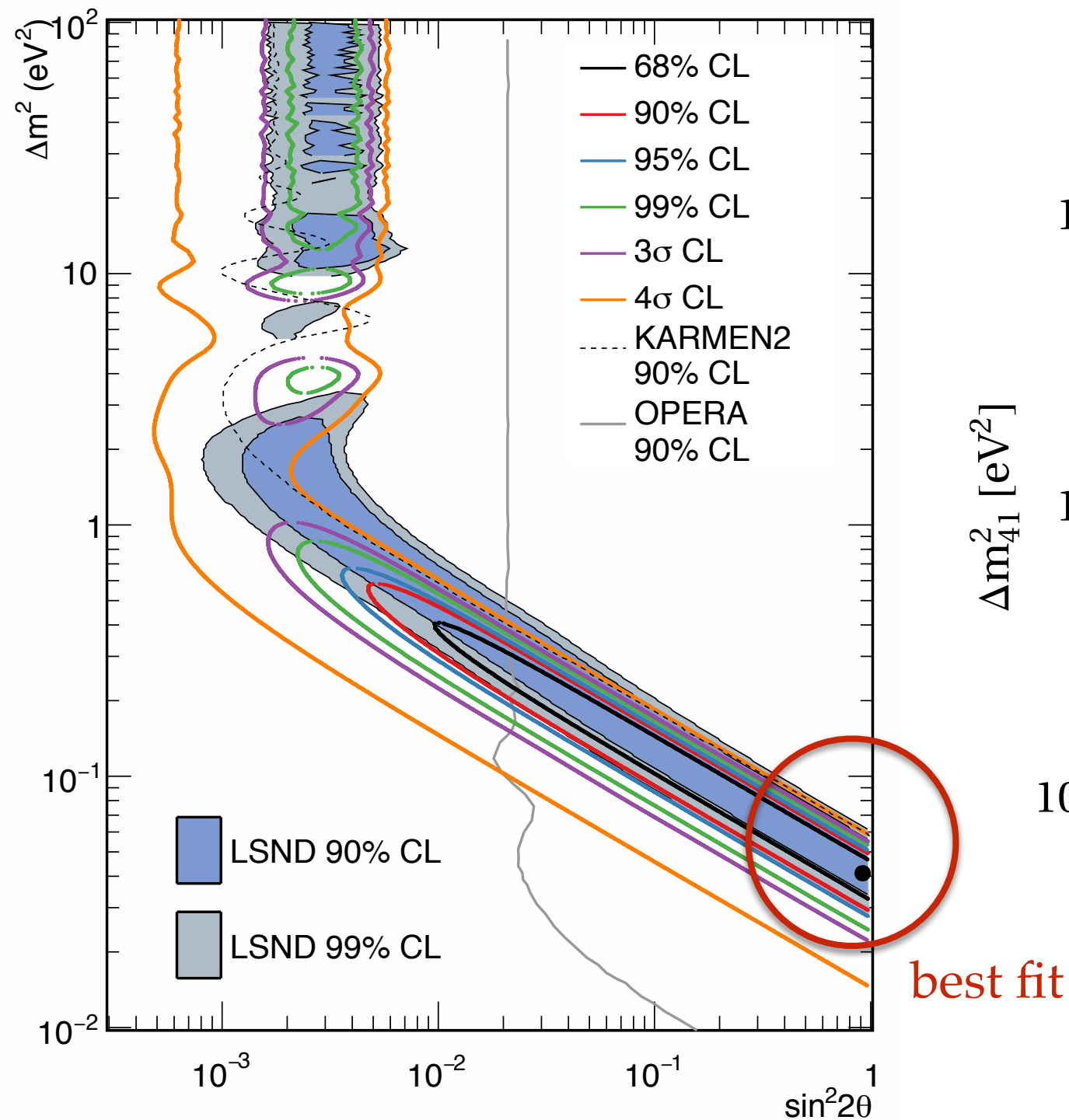
$$E_{\nu}^{(\text{reconst.})} = \frac{2m_n E_e + m_p^2 - m_n^2 - m_e^2}{2(m_n - E_e + \cos \theta_e \sqrt{E_e^2 - m_e^2})}$$

Measure charged lepton energy/angle

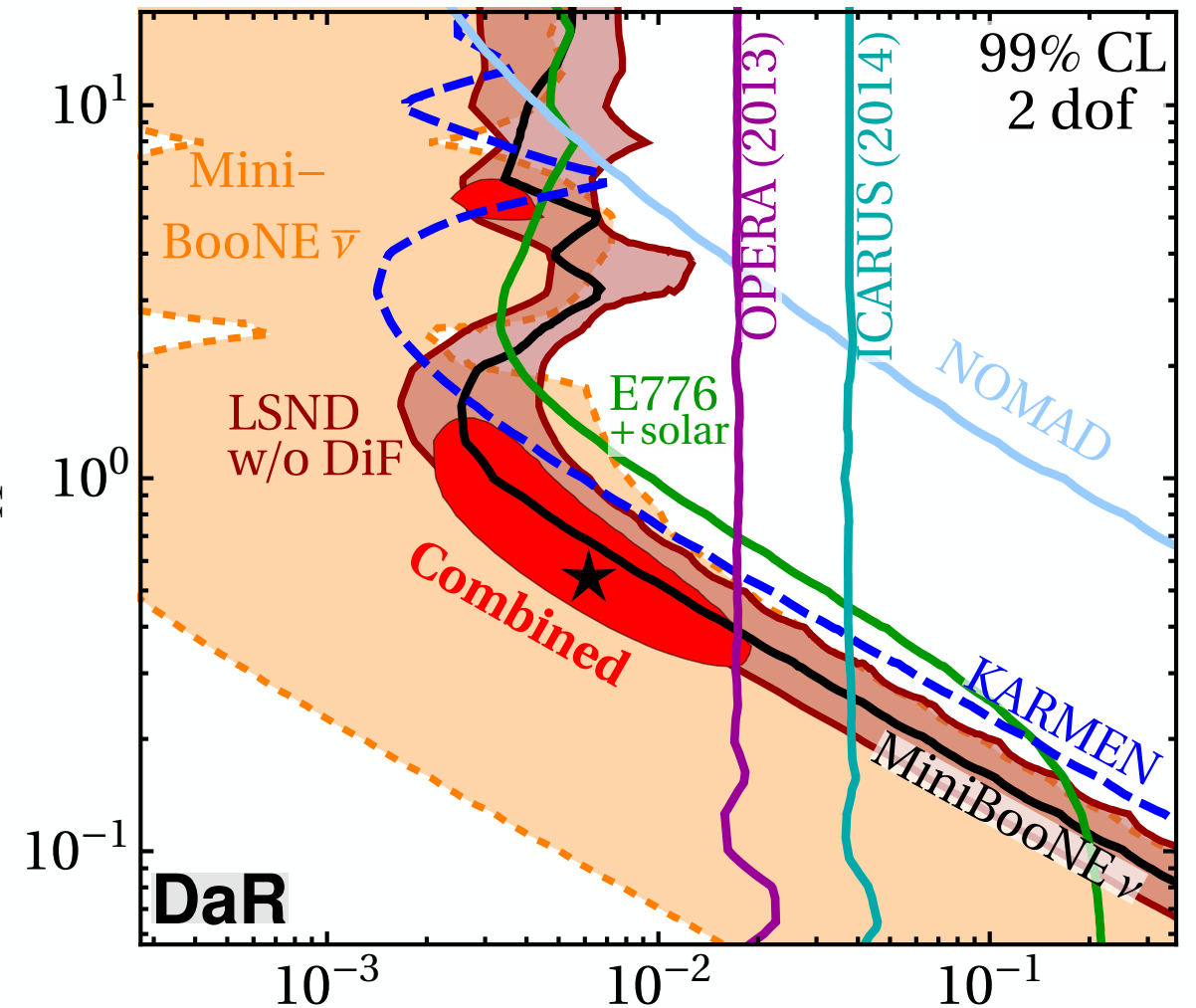
Observed ~ 400 events, PMNS predicts 0

Combined $\nu/\bar{\nu}$ modes : 4.8σ excess

Explaining LSND + MiniBooNE: **eV Sterile Neutrino?**



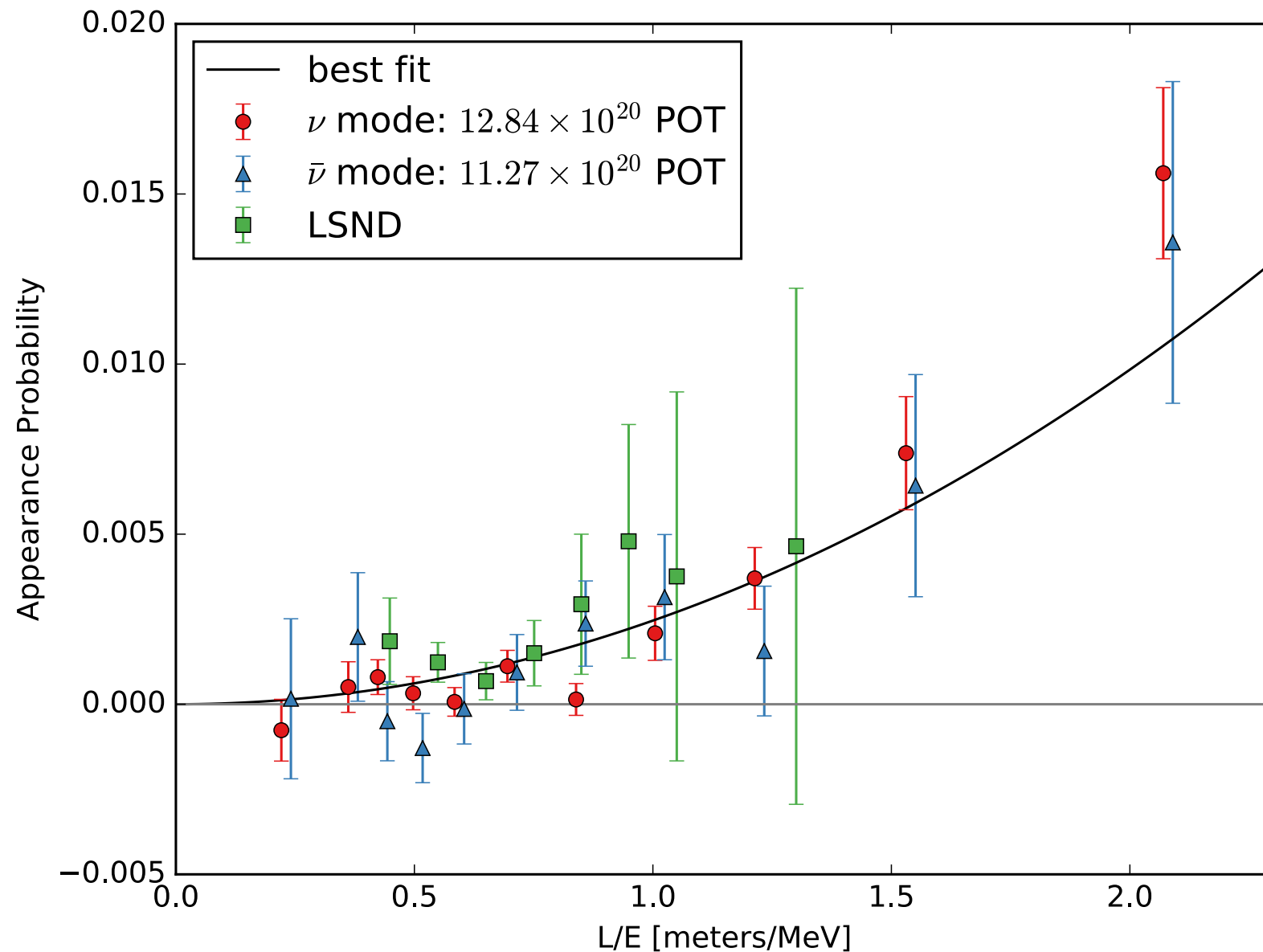
Best fits [appearance only]



$$\sin^2 2\theta_{\mu e} \equiv 4|U_{e4}|^2|U_{\mu 4}|^2$$

Combined 6.1 sigma excess!

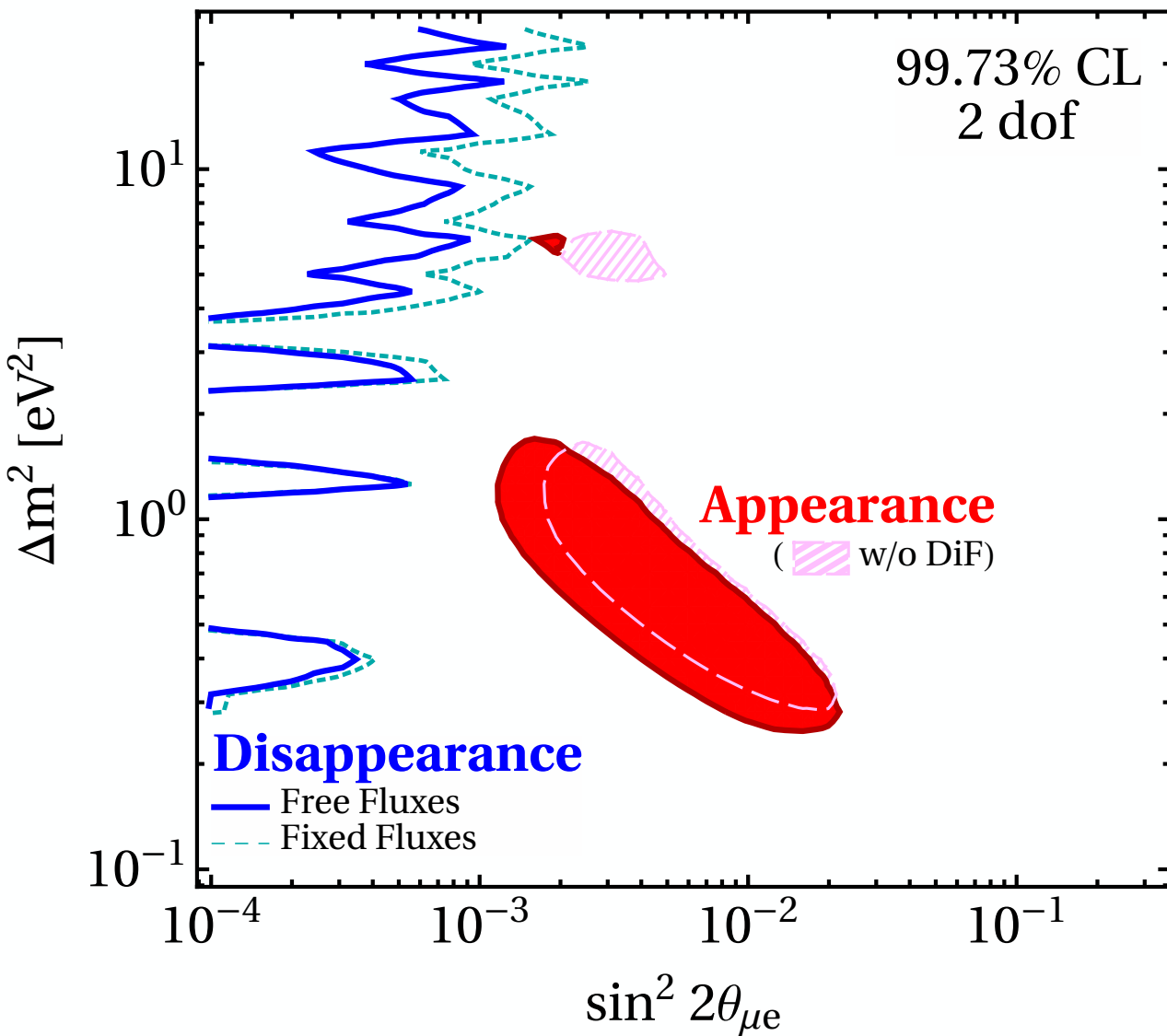
Explaining LSND + MiniBooNE: **eV Sterile Neutrino?**



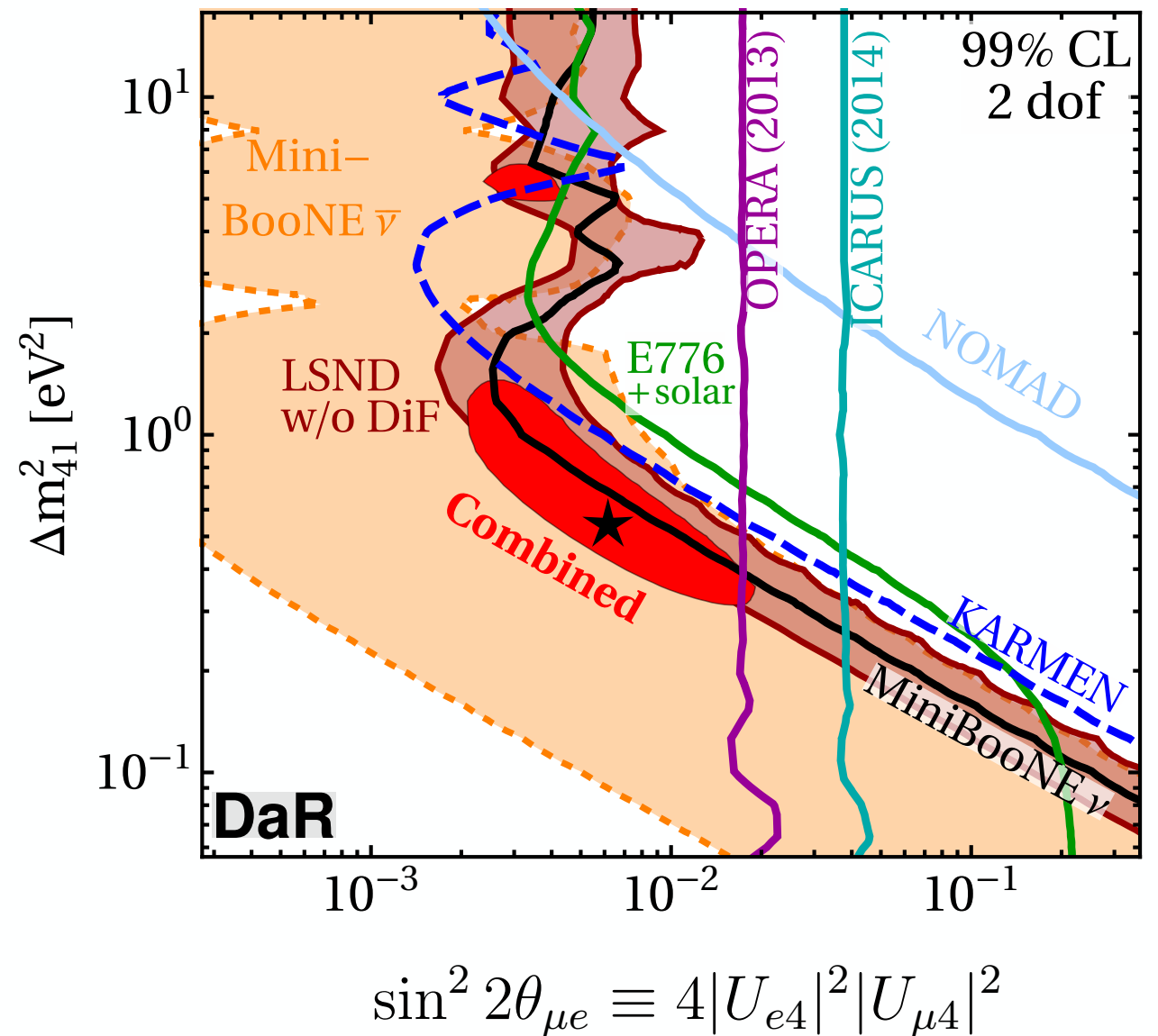
@ face value the scaling seems to support LSND / MB compatibility

Explaining LSND + MiniBooNE: **eV Sterile Neutrino?**

Including disappearance



Best fits [appearance only]



Significant tension with multiple null disappearance results

Cosmological Problems for Light Sterile Neutrinos

Sterile neutrinos require $\sin^2 2\theta_{\mu e} > 10^{-3}$, $m_4 < \text{few eV}$

Generic early universe thermalization

$$\Gamma > H \implies \sin^2 2\theta_{\mu e} G_F^2 T^5 > \sqrt{g_*} \frac{T^2}{m_{\text{Pl}}} \implies n_4 \sim n_\nu$$

Excluded by BBN / CMB $N_{\text{eff}} = 2.99 \pm 0.17$ **Planck 1807.06209**

Unless max temperature satisfies $T_{\text{max}} \lesssim 15 \text{ MeV} \left(\frac{10^{-3}}{\sin^2 2\theta_{\mu e}} \right)^{1/3}$

What else could this be?

LSND / MiniBooNE connection **assumes** sterile neutrinos

Otherwise completely unrelated in principle

I will ignore LSND from now on

What else could this be?

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Otherwise completely unrelated in principle

I will ignore LSND from now on

Strong motivation for alternative explanations to MB excess

Could be a systematic: $\left\{ \begin{array}{l} \text{Excess peaks in IR near threshold} \\ \text{Same basic shape as the BG} \end{array} \right.$

**Also could be a different kind of new physics
unrelated to neutrino oscillations**

Just need new particles to deposit EM energy in the detector

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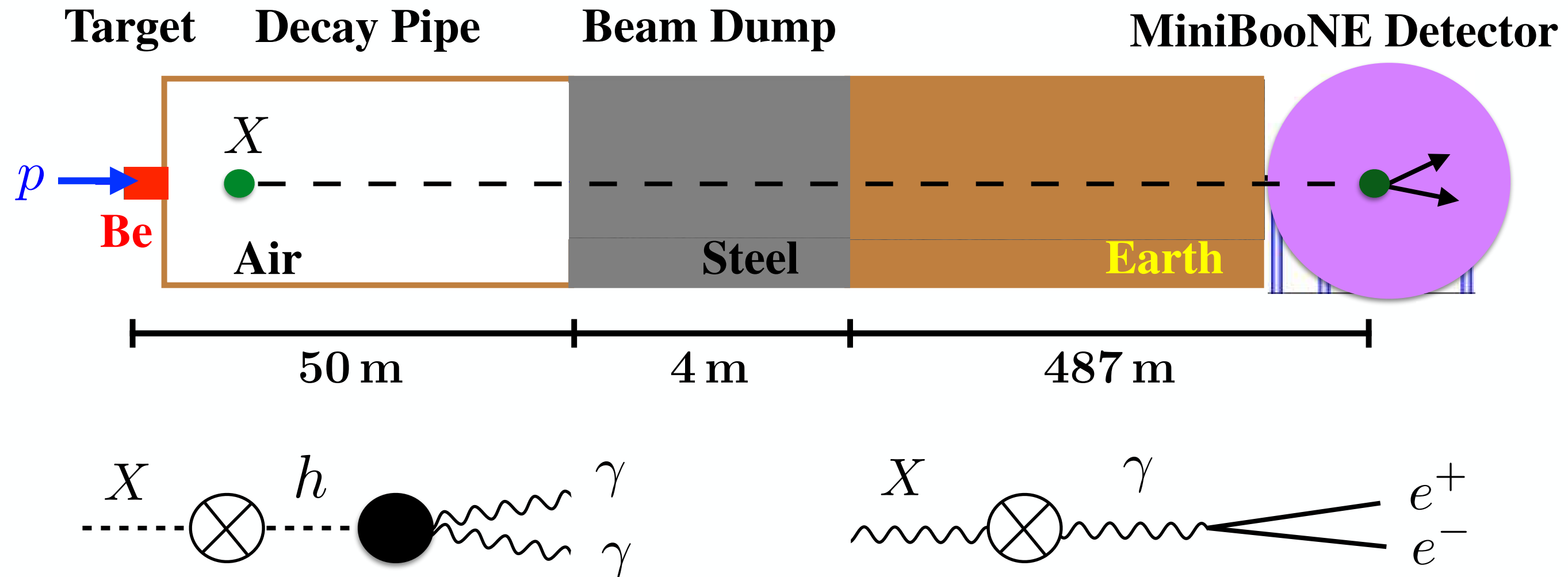
What's a “Simple Model”?

New particle **unrelated** to neutrino oscillation or production

Scenario A: **Unstable** particle produced in target
Decays **visibly** inside the detector

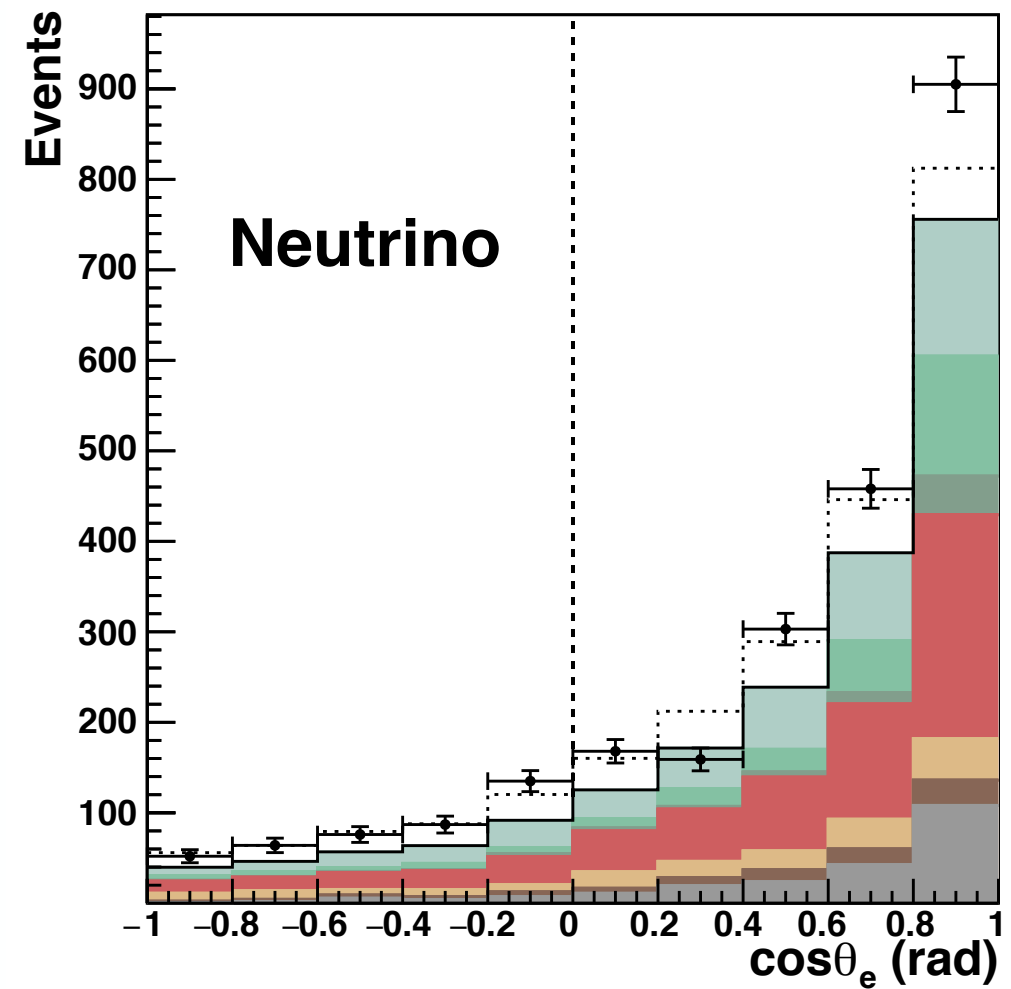
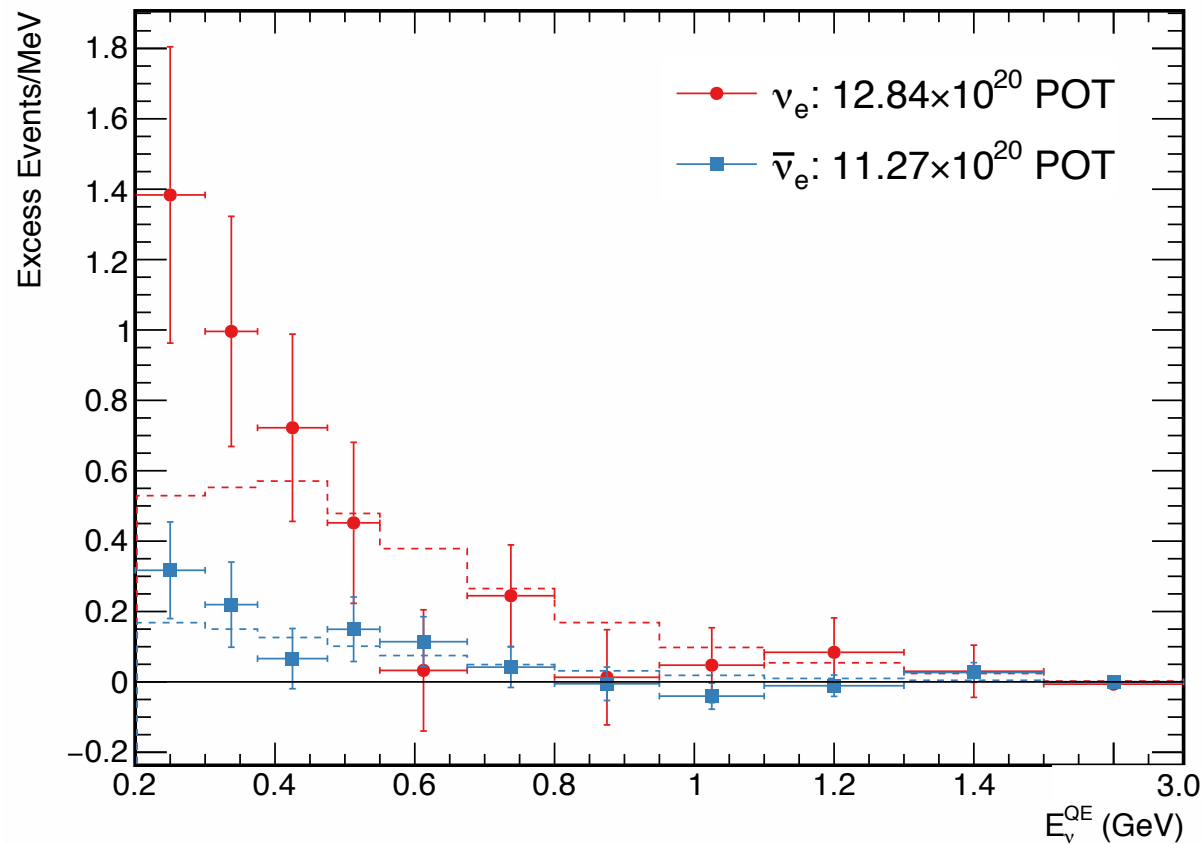
Scenario B: **Stable*** particle produced in target
Scatters **elastically** inside the detector

Scenario A: Unstable particle produced in target
Decays visibly inside the detector



Detector can't distinguish electrons / photons
Collimated particles reconstruct as one "CCQE" track

Scenario A: Unstable particle produced in target Decays visibly inside the detector



Energy threshold = 200 MeV

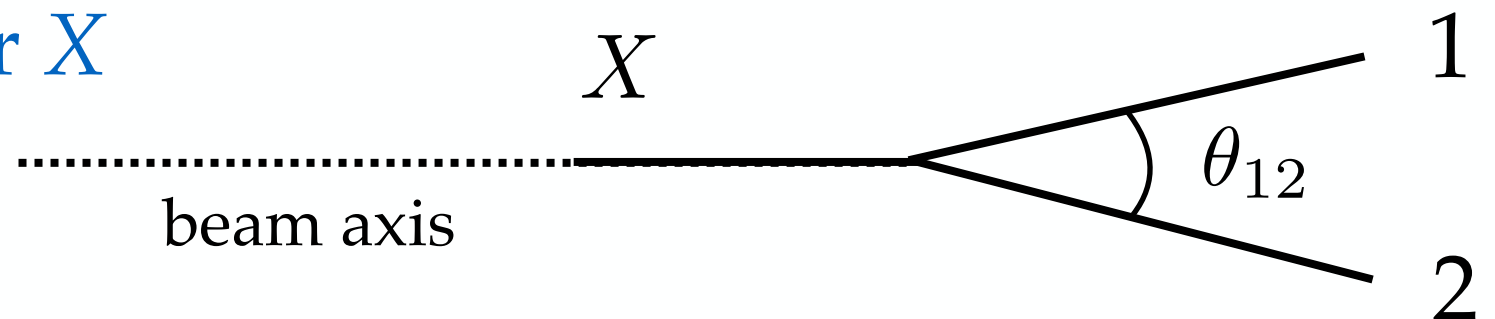
$$E_{\nu}^{\text{(reconst.)}} = \frac{2m_n E_e + m_p^2 - m_n^2 - m_e^2}{2(m_n - E_e + \cos\theta_e \sqrt{E_e^2 - m_e^2})}$$

Many wide angle events
for reconstructed “electron”

Scenario A: Unstable particle produced in target
Decays **visibly** inside the detector

Geometric acceptance for X

$$\cos \theta_X > 0.999$$



Reconstruct as single particle if $m_{\text{track}} < 30 \text{ MeV}$

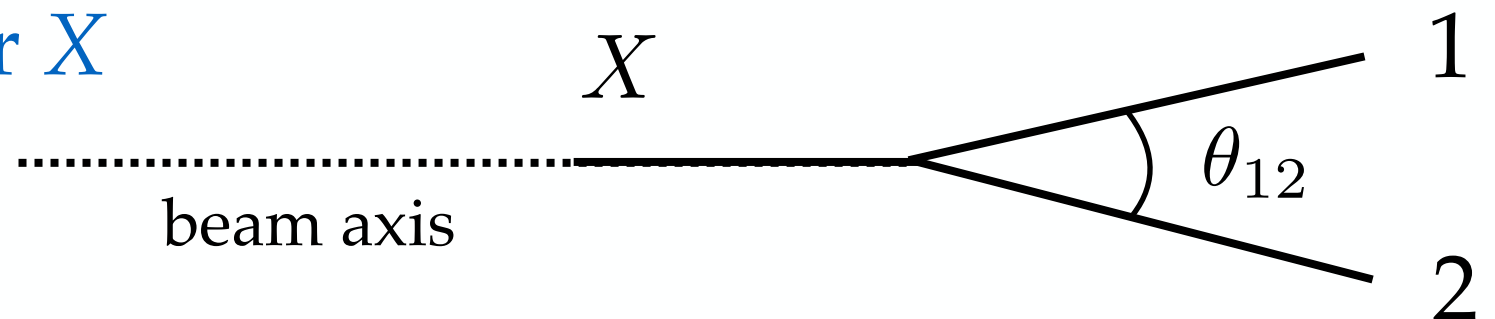
$$m_{\text{track}} \equiv \sqrt{2E_1 E_2 (1 - \cos \theta_{12})} \longrightarrow \cos \theta_{12} > 1 - \frac{(30 \text{ MeV})^2}{2E_1 E_2}$$

$E > 200 \text{ MeV}$, easy to be collimated

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Angular reconstruction: direction of total daughter momentum

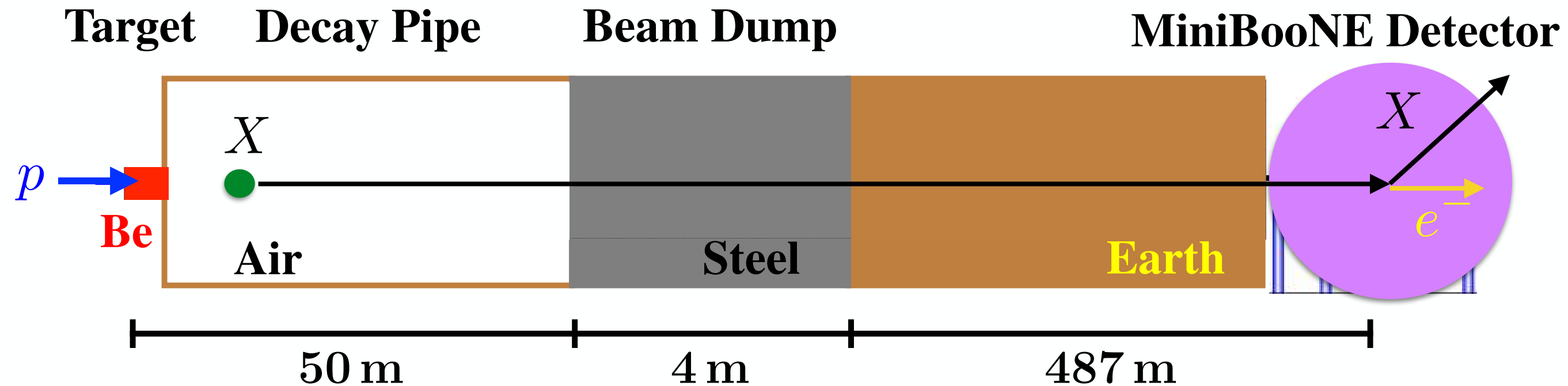
$$\cos \theta_{12} \approx \cos \theta_X > 0.999$$

Fully visible decays ruled out — only populate last bin

Model independent exclusion

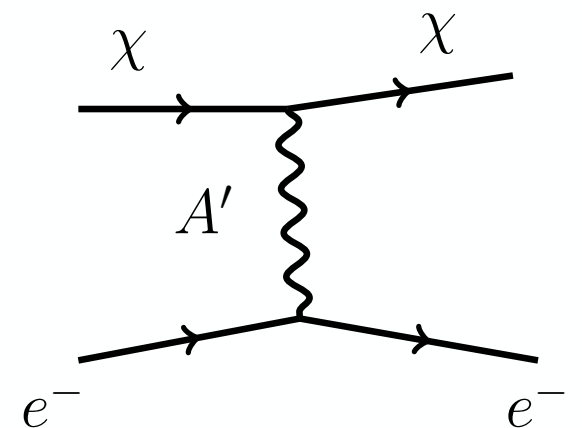
Scenario B:

Stable* particle produced in target
Scatters **elastically** inside the detector



Elastic scatter must use detector electrons as targets to fake CCQE

$$\cos \theta_e = \frac{E_X E_e - m_e (E_X + m_e - E_e)}{\sqrt{(E_X^2 - m_X^2)(E_e^2 - m_e^2)}}$$



e.g. dark matter induced

Scenario B: **Stable*** particle produced in target
Scatters **elastically** inside the detector

If X is relativistically produced $E_X \gg m_X$

$$\cos \theta_e = 1 - m_e \left(\frac{E_X - E_e}{E_X E_e} \right) + \mathcal{O} \left(\frac{m_e^2}{E_e^2} \right) > 0.99$$

Same problem: all events in last bin after $E_e > 200$ MeV cut

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Same problem: all events in last bin after $E_e > 200$ MeV cut

If X is quasi-relativistic $E_X \gtrsim m_X$

$$\cos \theta_e = \frac{E_X E_e - m_e(E_X + m_e - E_e)}{\sqrt{(E_X^2 - m_X^2)(E_e^2 - m_e^2)}} \implies E_e \sim m_e \text{ for } \cos \theta_e \sim 0$$

Which fails selection cuts

Elastic scatter ruled out model independently

What have we learned?

Model Independent Arguments (Kinematic Features Only)

~~Scenario A: Unstable particle produced in target
Decays visibly inside the detector~~

Decays require both visible and invisible daughters

~~Scenario B: Stable* particle produced in target
Scatters elastically inside the detector~~

Scattering requires nuclear / nucleon targets for angular spread
& inelasticity to yield final state EM energy

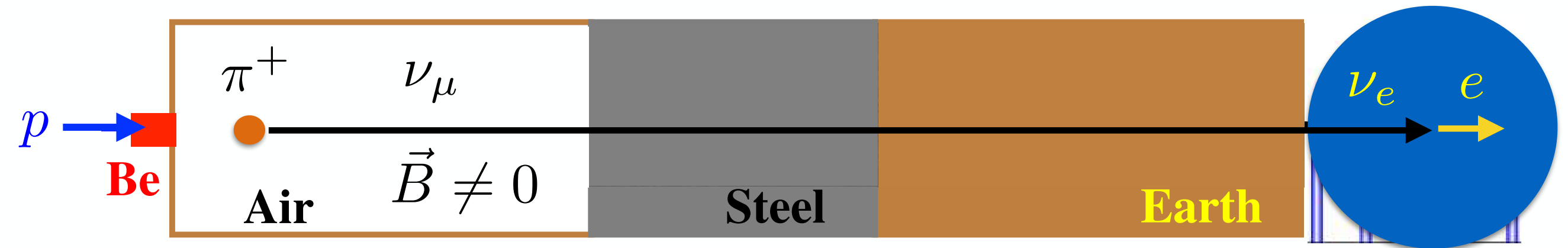
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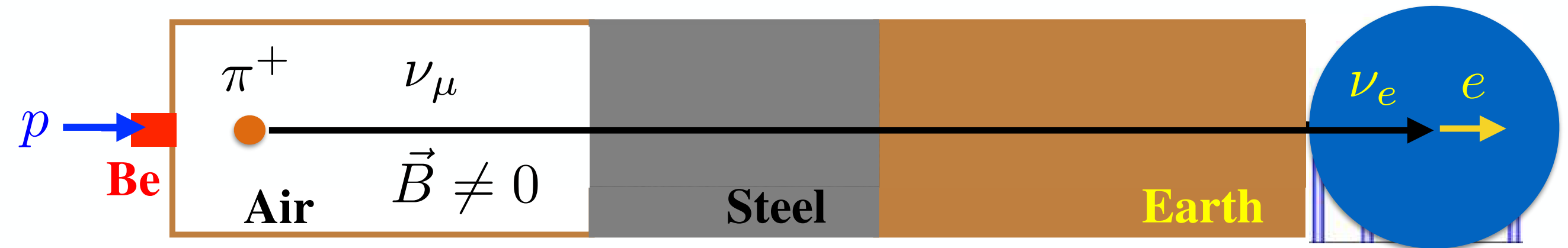
3) Excluding (Nearly) All Other Models w/ Beam Dump Data

Neutrino Mode vs. Beam Dump Mode

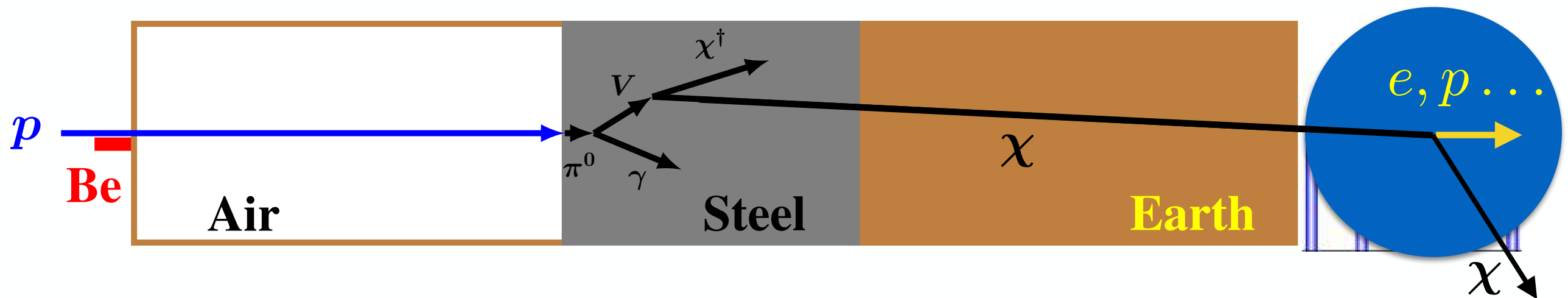


Neutrino mode uses Be target and magnet focusing
Collimated flux of **charged** mesons and daughters
Diffuse spread of **neutral** particles

Neutrino Mode vs. Beam Dump Mode

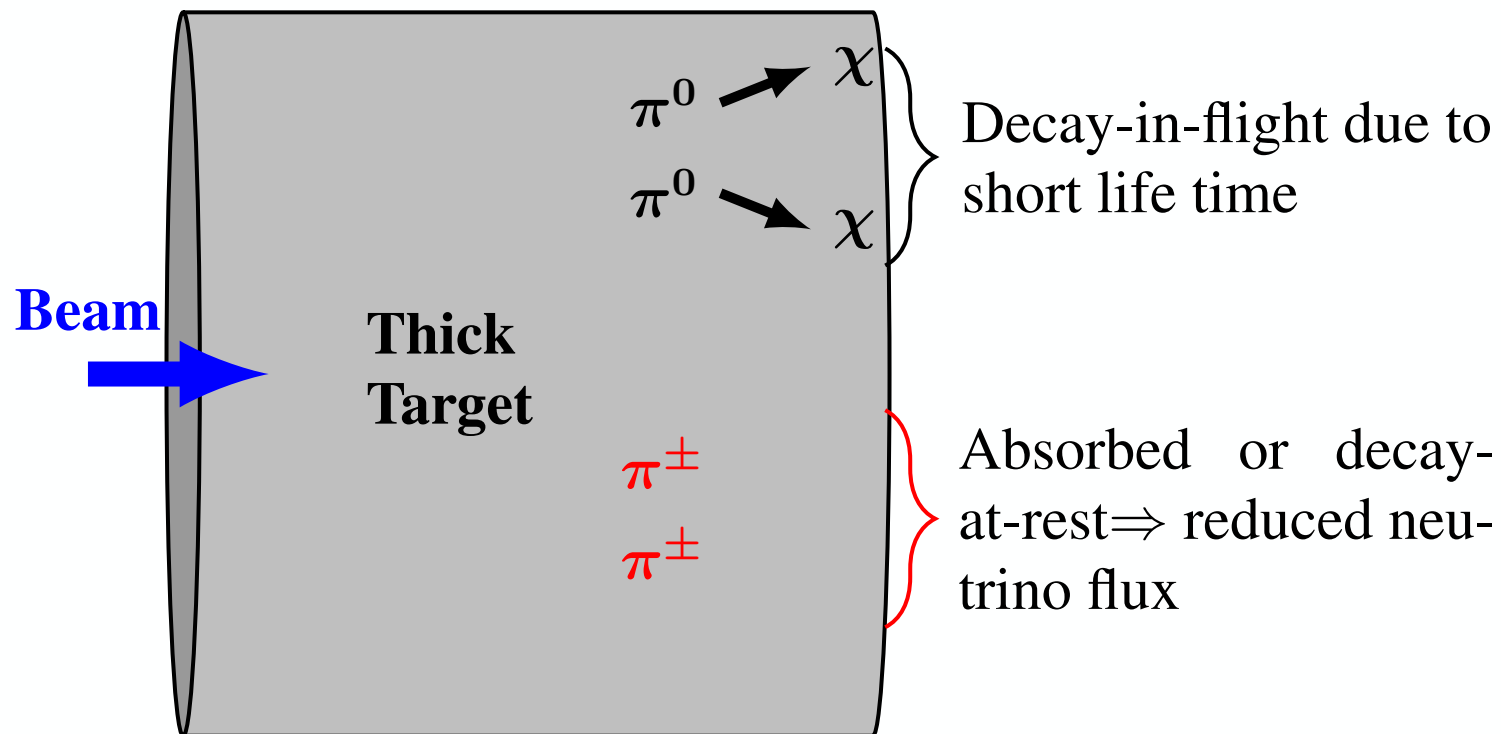
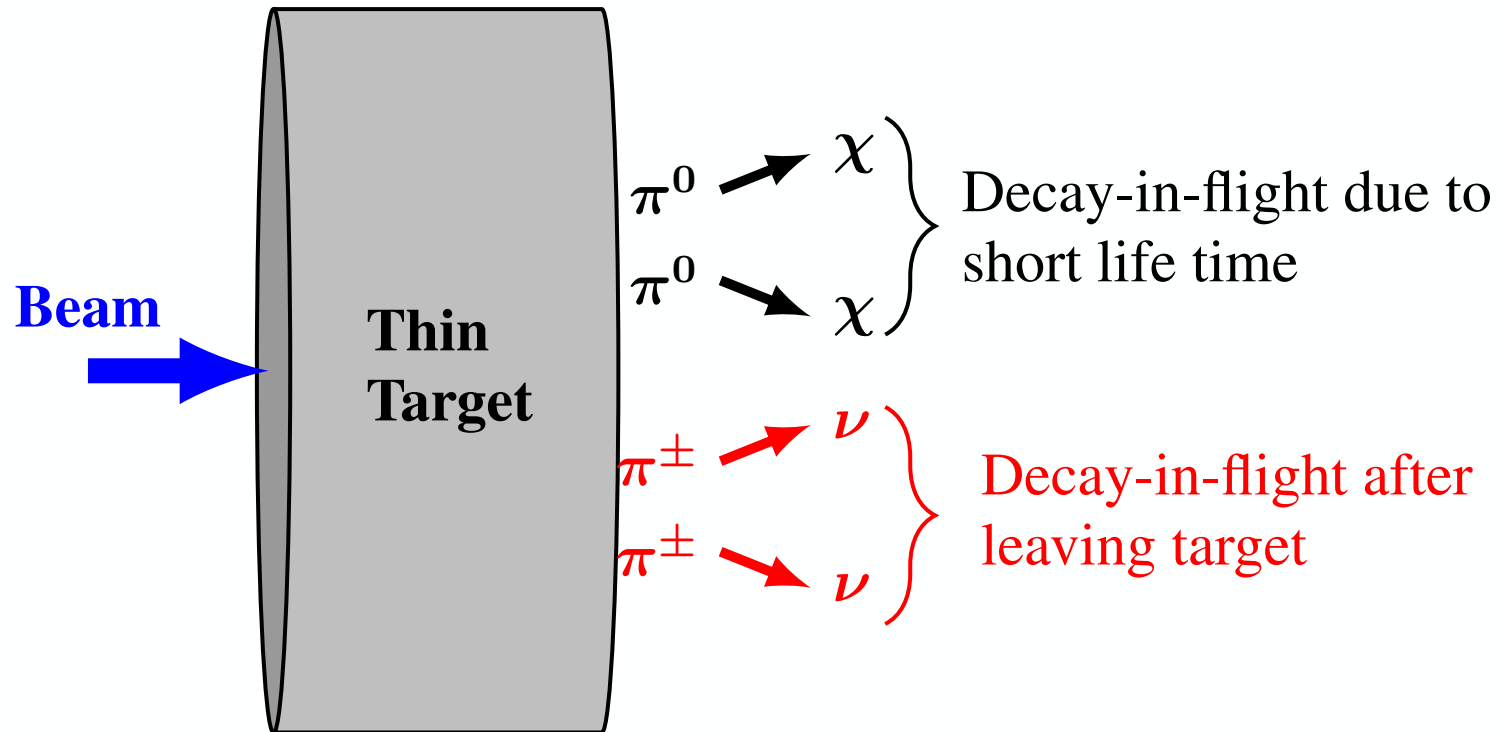


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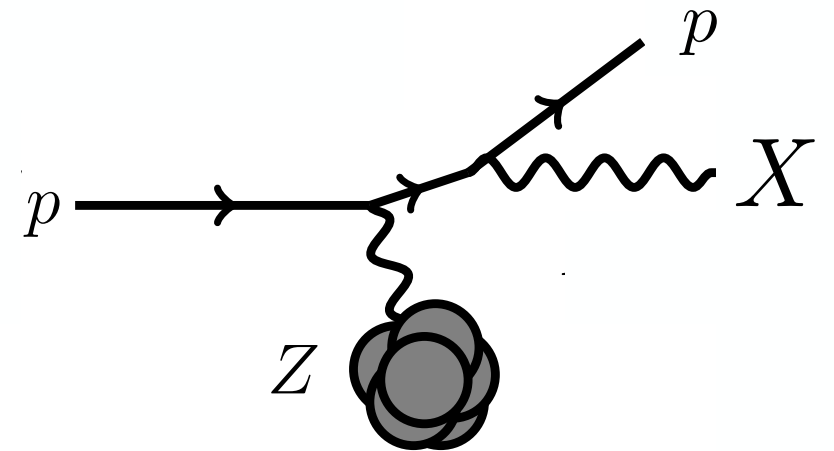


Beam dump avoids target and magnet
Diffuse spread of **all** secondary particles
Reduces neutrino BG for exotic searches

Neutrino Mode vs. Beam Dump Mode



Continuum production
Similar in both modes

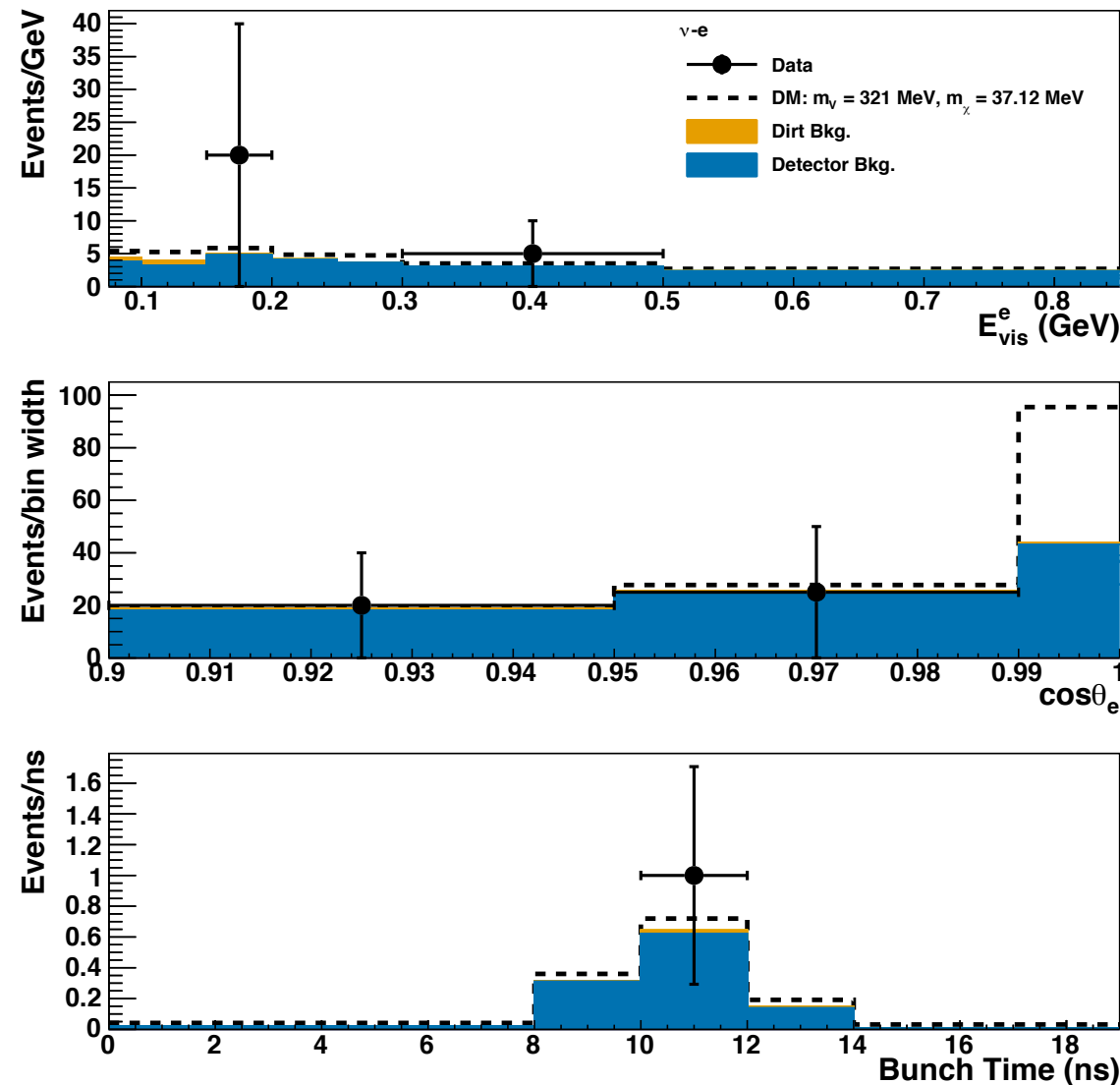


Uses full beam energy
Important for heavy X

Thickness irrelevant
if greater than rad. length

Null Result Imposes Nontrivial BSM Bounds

No signal events observed above BG

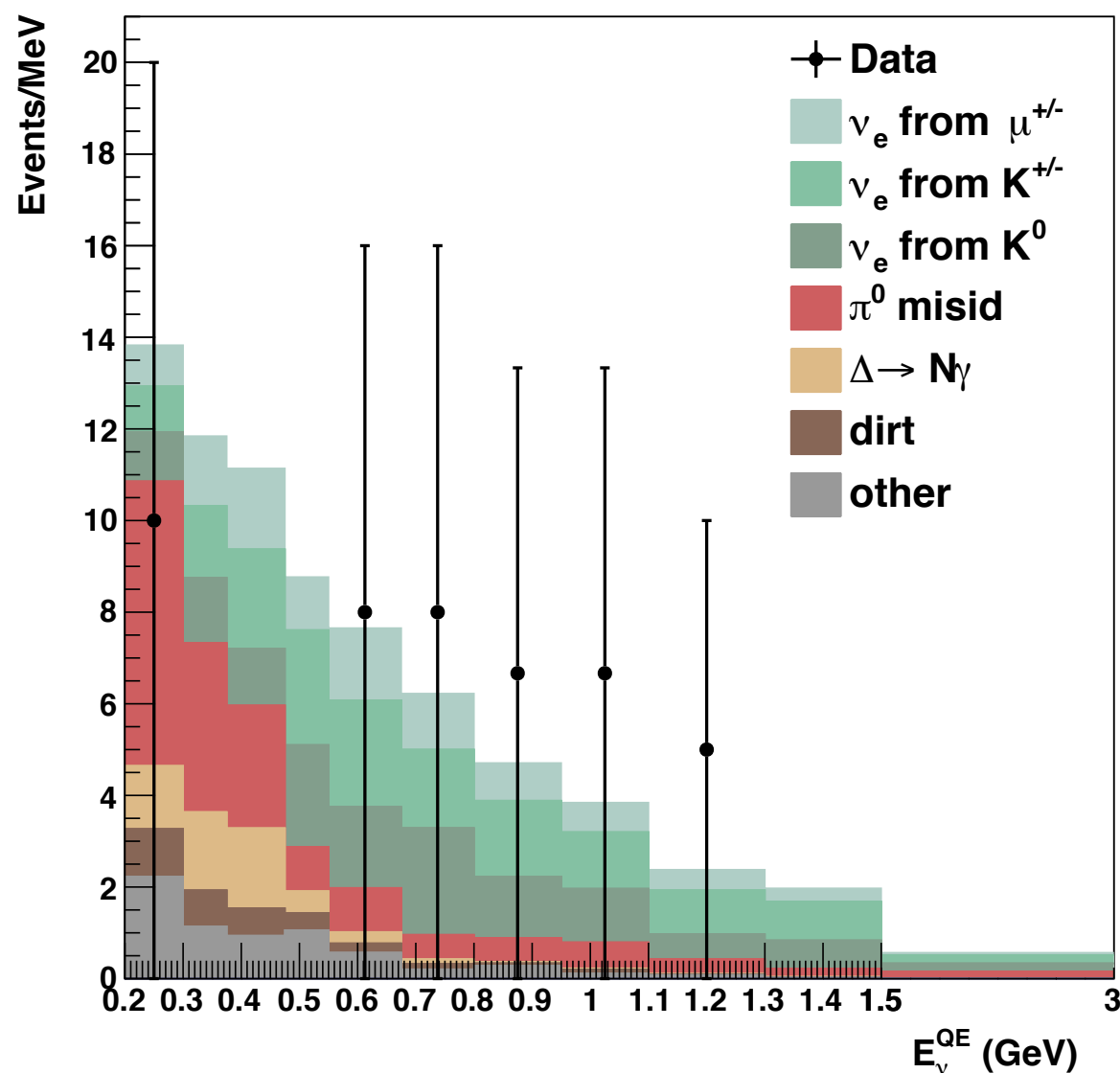


$\sim 10^{20}$ POT $\cos \theta_e > 0.9$ $75 \leq E_{\text{vis}}^e$ (MeV) ≤ 850

10% of luminosity in neutrino mode which saw ~ 460 events

Null Result Imposes Nontrivial BSM Bounds

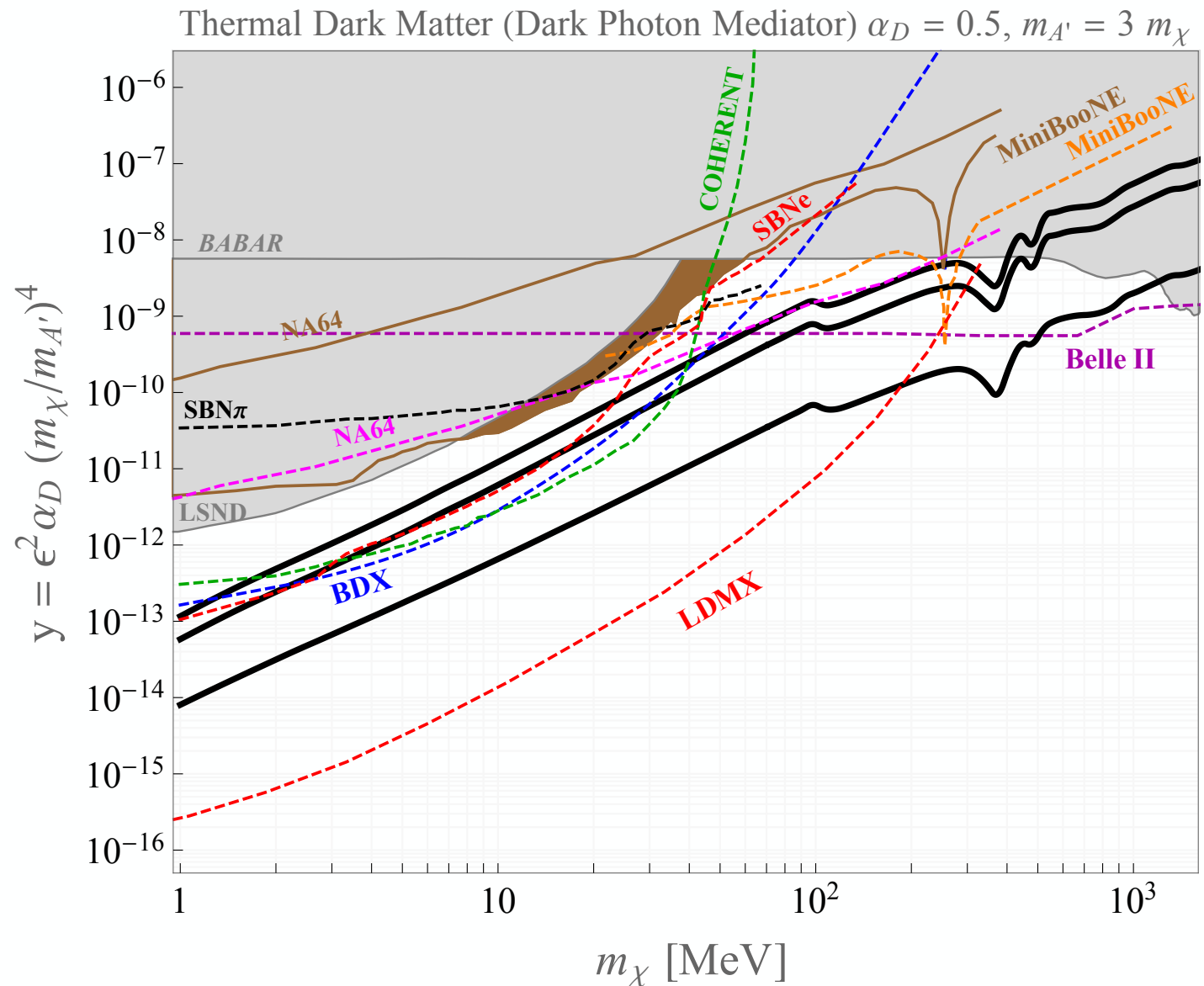
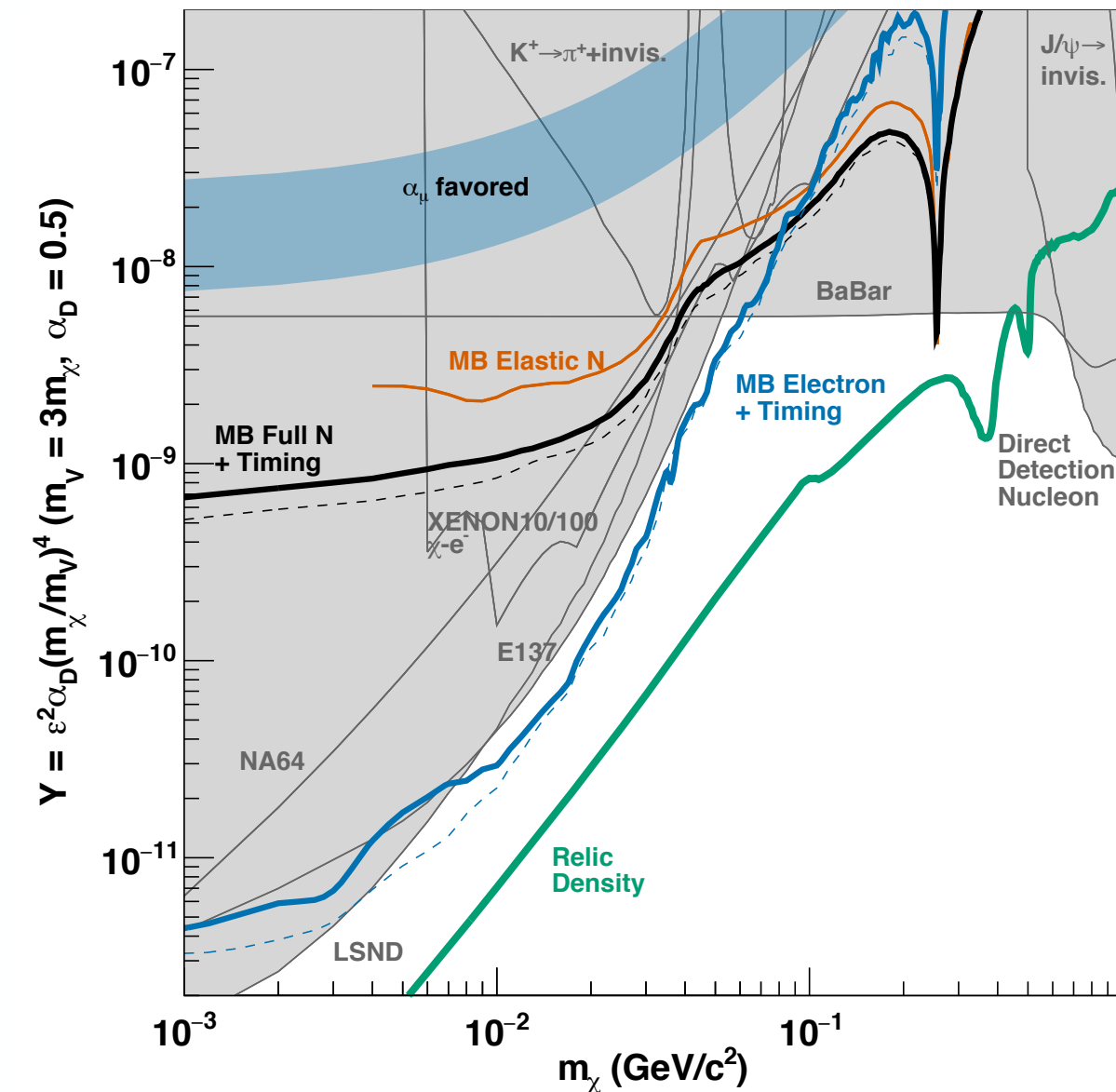
No signal even with neutrino mode cuts in beam dump mode



$$E_p \sim 9 \text{ GeV} \quad \sim 10^{20} \text{ POT} \quad 200 < E_\nu^{QE} < 1250 \text{ MeV}$$

10% of luminosity in neutrino mode which saw ~ 460 events

First ever dedicated < GeV DM search



First shot in a new program of accelerator DM searches
 Already has consequences for MiniBooNE anomaly BSM

What have we learned?

~~Scenario A:~~ ~~Unstable particle produced in target~~
~~Decays visibly inside the detector~~

Scenario A' Unstable particle produced in target
Each decay has **visible & invisible** daughters

~~Scenario B:~~ ~~Stable* particle produced in target~~
~~Scatters elastically inside the detector~~

Scenario B' Stable* particle produced in target
Inelastically scatters of **nucleon/nucleus**

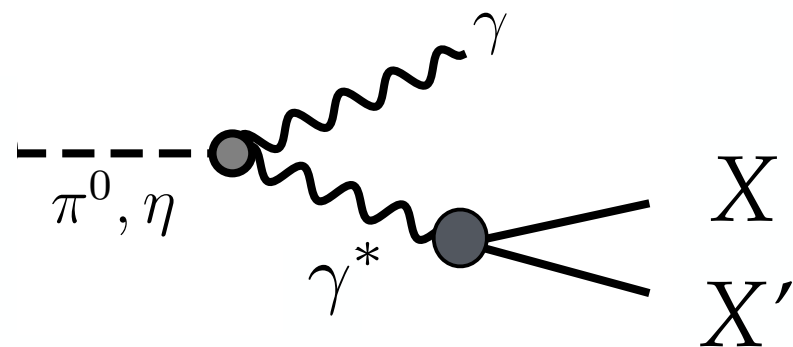
Scenario A' Unstable particle produced in target
Each decay has **visible & invisible** daughters

$$X \rightarrow X' + \text{EM}$$

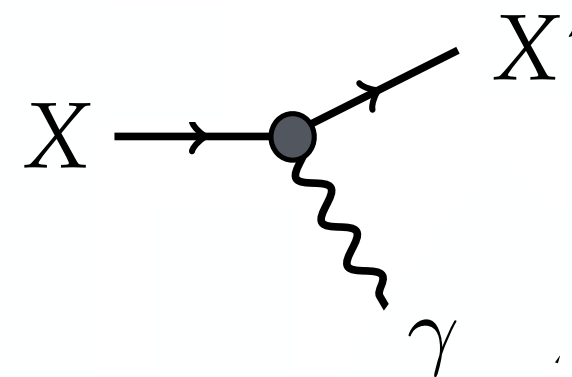
X' is invisible

Example: dipole interaction
for a Pseudo-Dirac fermion

$$\mathcal{L} \supset d_X \bar{X} \sigma^{\mu\nu} X' F_{\mu\nu} + \text{h.c.}$$



Production (target)



Decay (detector)

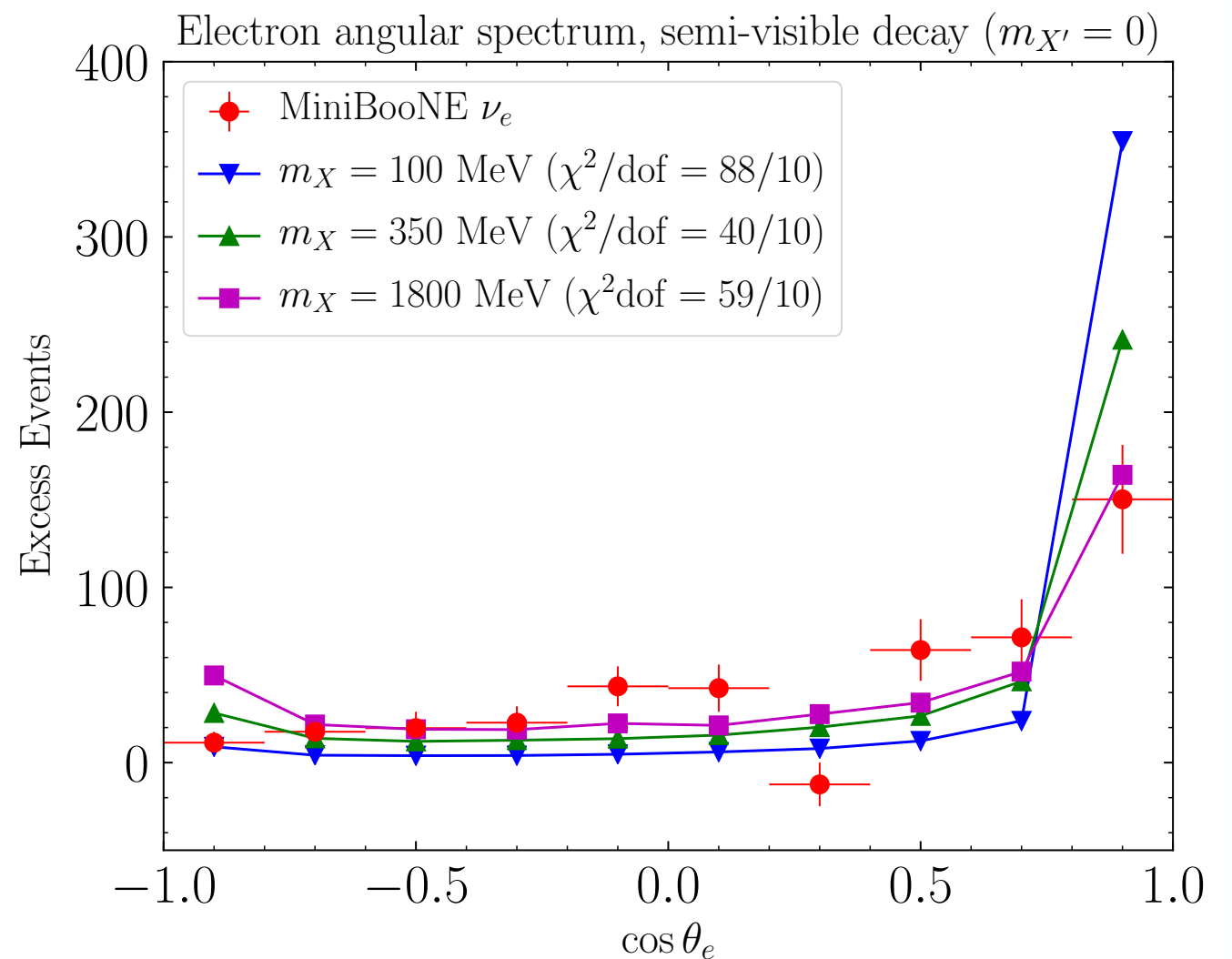
Invisible, lighter X' to carries forward momentum
Wide angle visible energy to fake CCQE electron

Scenario A' Unstable particle produced in target Each decay has **visible & invisible** daughters

Note this is already **disfavored** by angular distribution

Conservative Strategy

- 1) **assume** visible particle(s)
fit the excess E spectrum
- 2) this predicts X boost
distribution & angular
spread for visibles
- 3) generalizes to N body decay
with massive X'



Verdict: can partially explain angular distribution w/ heavy X

Scenario A' Unstable particle produced in target
Each decay has **visible & invisible** daughters

Bigger Problem: can't make heavy $\sim \text{GeV } X$ in charged meson decays

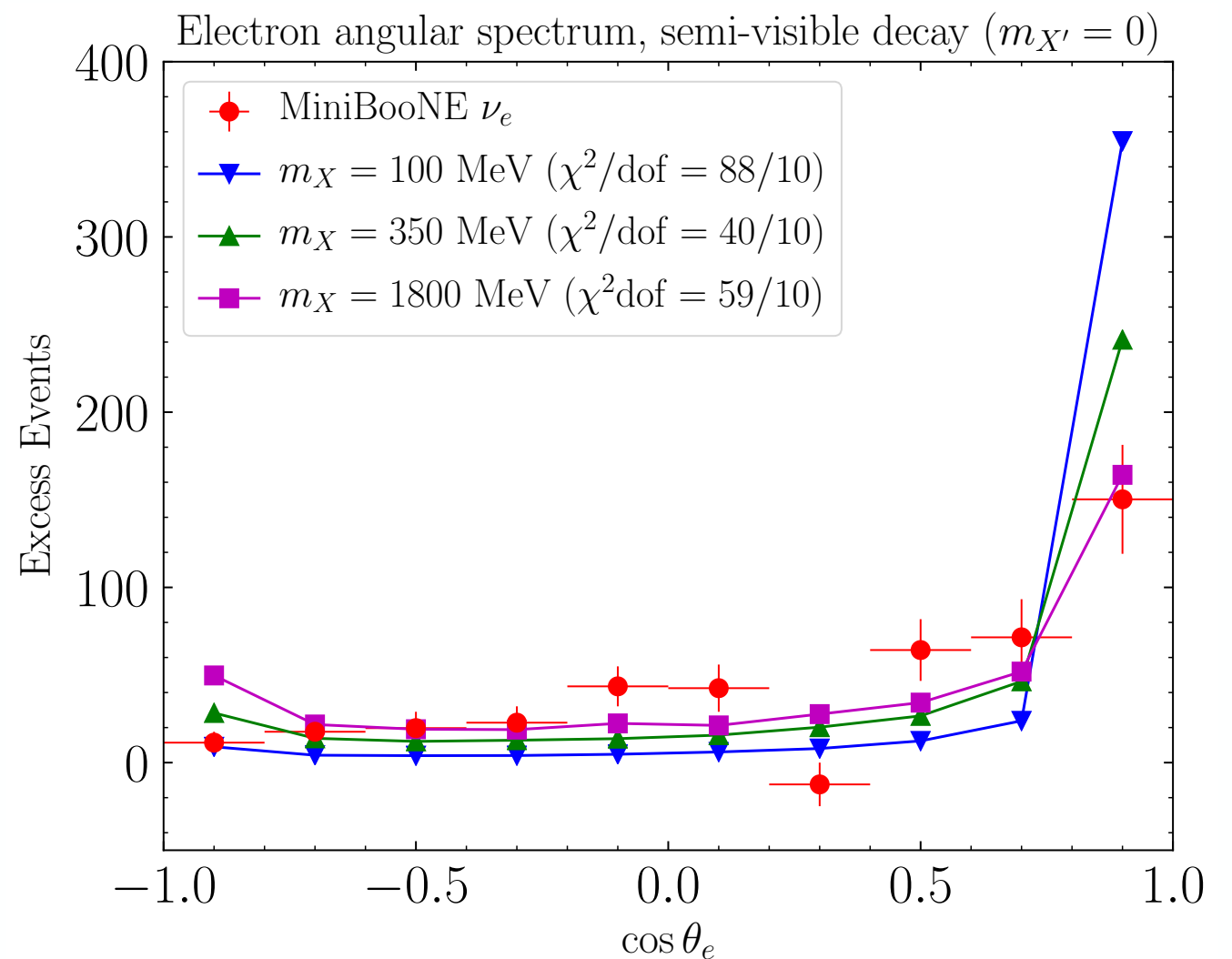
No available progenitors

$$m_{\pi^+} = 139.54 \text{ MeV}$$

$$m_{K^+} = 493.67 \text{ MeV}$$

Need neutral meson decays
or continuum production

Comparable production rate in
beam dump mode (per POT)

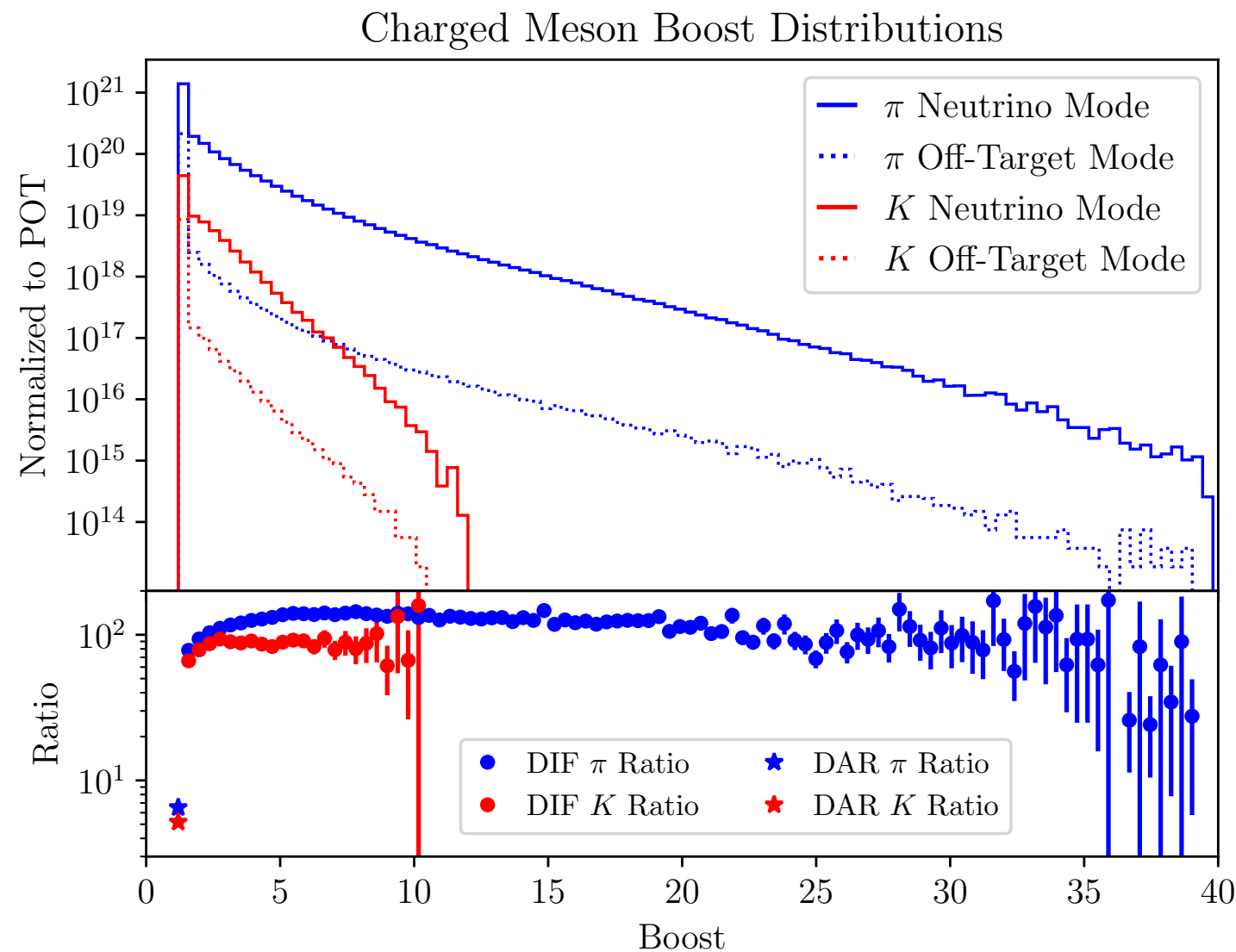


Predicts ~ 60 events in beam dump mode: ruled out!

Scenario B'

Stable* particle produced in target
Inelastically scatters of nucleon/nucleus

Step 1) produce X in charged meson decays



GEANT 4
simulation

100x reduction relative to neutrino mode for all boosts $\implies \mathcal{O}(\text{few})$ events
now sensitive to $\cos > 0.9$ cut (model dependent, but close!)

Scenario B'

Stable* particle produced in target
Inelastically scatters off nucleon/nucleus

Step 2) scatter X inelastically off detector hadrons for wide angle recoils

$$XN \rightarrow X'N, \quad X' \rightarrow \text{EM} + \dots$$

Model building challenge:

Couple to nucleons for detector upscatter
(satisfy angular distribution)

.... while avoiding neutral meson+continuum production
(ruled out by beam dump)

.... and avoiding detector electron scattering
(otherwise always forward electrons)

Scenario B'

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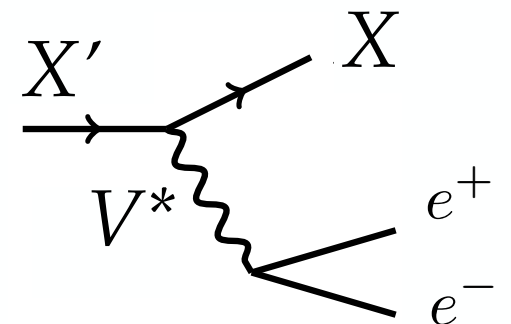
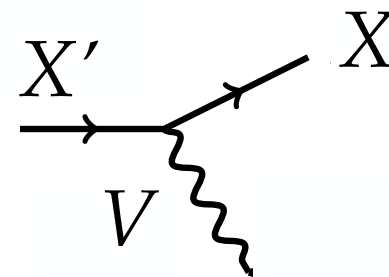
Example: Pseudo-Dirac DM charged under broken, gauged $U(1)_{L_e - L_\mu}$

$$\mathcal{L} \supset g \left(\bar{X}' \gamma^\sigma X + J_{L_e - L_\mu}^\sigma \right) V_\sigma + e\epsilon J_{\text{EM}}^\sigma V_\sigma \quad \text{add kinetic mixing}$$

Suppress beam dump production from neutral+ continuum $g \gg e\epsilon$

a) X' must decay promptly

otherwise semi-visible
decay inside detector



b) Need to inject EM energy after scatter $m_V, m_X - m_{X'} > 2m_e$

c) Large inelasticity to forbid upscattering off electrons

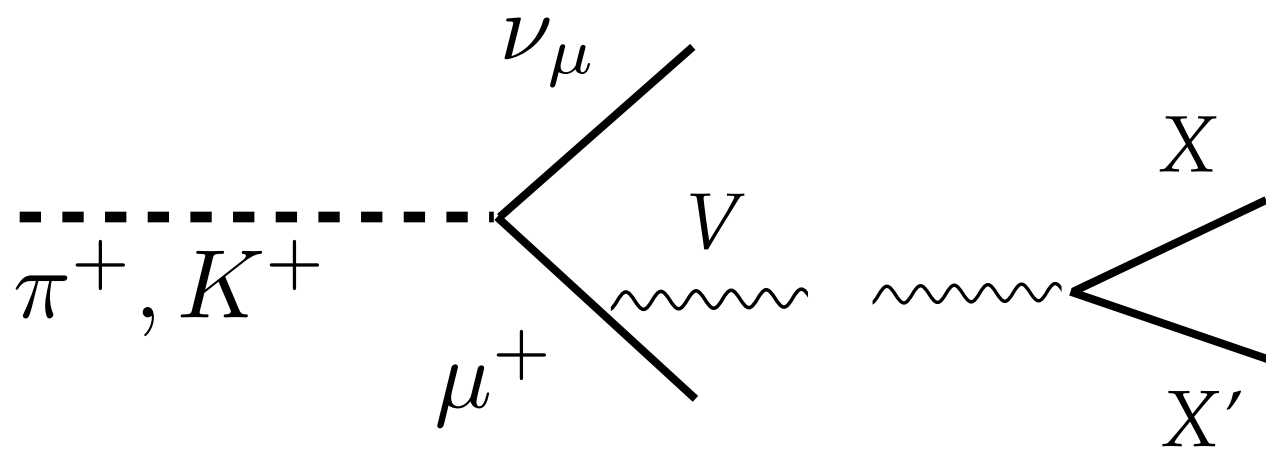
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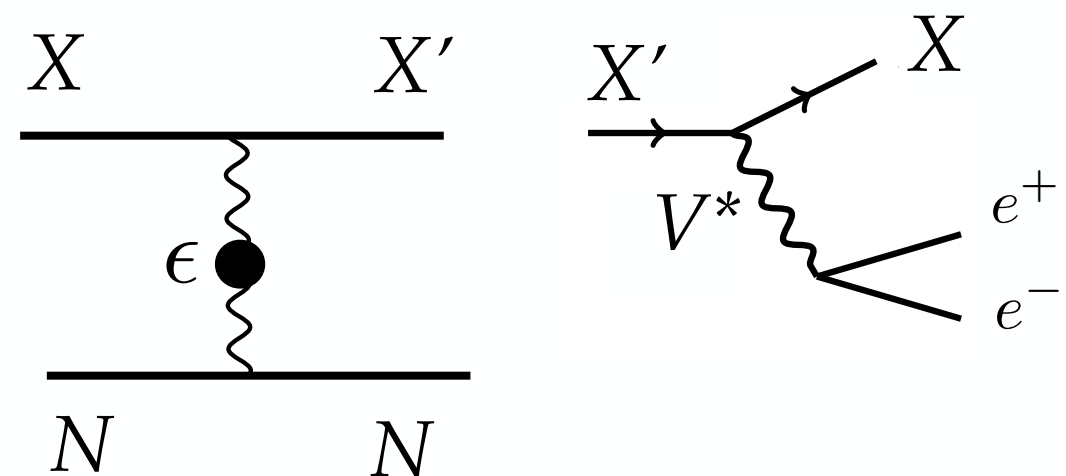
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Suppress beam dump production from neutral+ continuum $g \gg e\epsilon$



target production

prompt V, X' decay

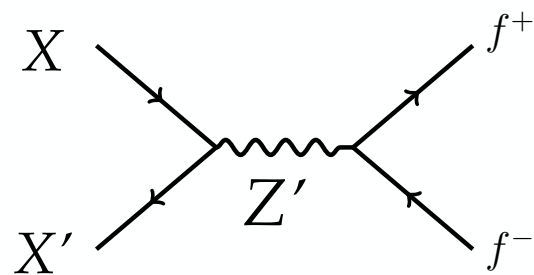


two-step detector signal

Scenario B'

Stable* particle produced in target
Inelastically scatters of nucleon/nucleus

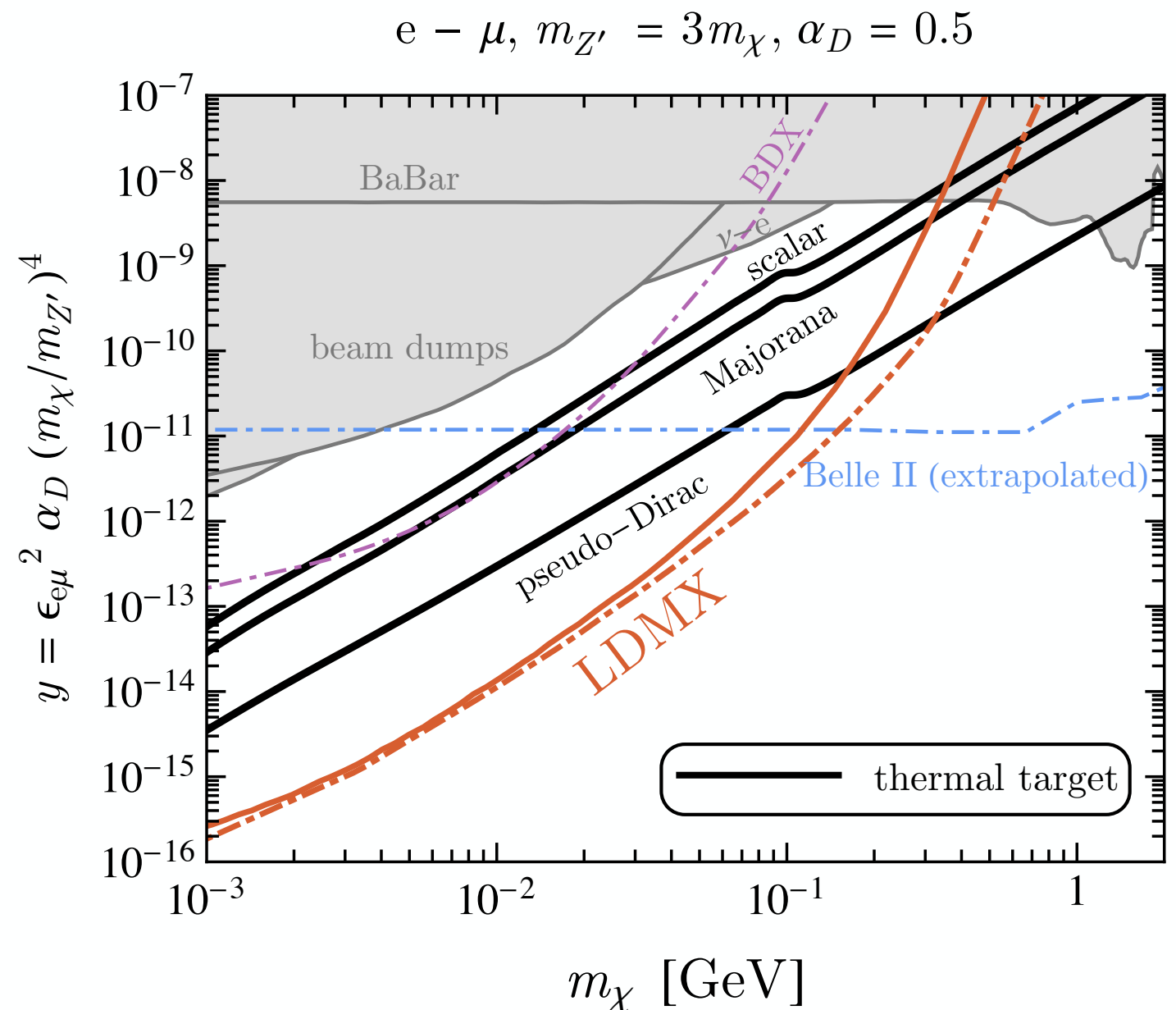
Step 3) Interpret X as dark matter w/ coannihilation?



$$\langle \sigma v \rangle \propto y$$

Overlap with thermal target?
Beam dump coverage close ...

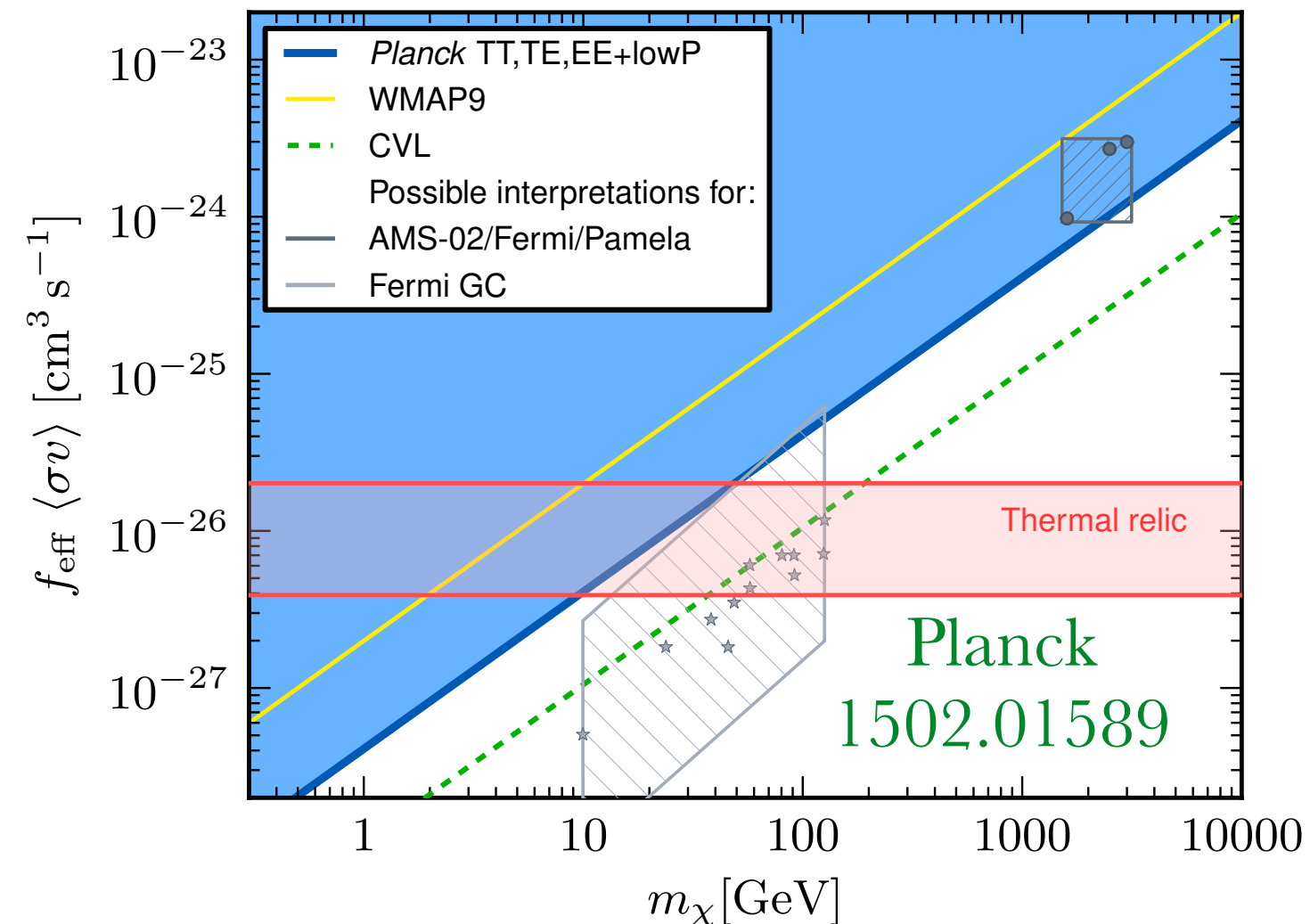
[Preliminary speculation]



Scenario B'

Stable* particle produced in target
Inelastically scatters of nucleon/nucleus

Note CMB naively rules out s -wave annihilation < 10 GeV



Viable models require either

1) p-wave annihilation

OR

2) annihilation shuts off
before CMB epoch

Coannihilation naturally realizes option (2)

Dark Neutrino Portal

$$\mathcal{L}_{\mathcal{D}} \supset \frac{m_{Z_{\mathcal{D}}}^2}{2} Z_{\mathcal{D}\mu} Z_{\mathcal{D}}^{\mu} + g_{\mathcal{D}} Z_{\mathcal{D}}^{\mu} \bar{\nu}_{\mathcal{D}} \gamma_{\mu} \nu_{\mathcal{D}} + e\epsilon Z_{\mathcal{D}}^{\mu} J_{\mu}^{\text{em}} + \frac{g}{c_W} \epsilon' Z_{\mathcal{D}}^{\mu} J_{\mu}^Z,$$

broken U(1)

dark heavy
neutrino

kinetic mixing

Also add mixing between active and (unstable) dark neutrinos

$$\nu_{\alpha} = \sum_{i=1}^3 U_{\alpha i} \nu_i + U_{\alpha 4} N_{\mathcal{D}}, \quad \alpha = e, \mu, \tau, \mathcal{D},$$

Dark Neutrino Portal

$$\mathcal{L}_{\mathcal{D}} \supset \frac{m_{Z_{\mathcal{D}}}^2}{2} Z_{\mathcal{D}\mu} Z_{\mathcal{D}}^{\mu} + g_{\mathcal{D}} Z_{\mathcal{D}}^{\mu} \bar{\nu}_{\mathcal{D}} \gamma_{\mu} \nu_{\mathcal{D}} + e\epsilon Z_{\mathcal{D}}^{\mu} J_{\mu}^{\text{em}} + \frac{g}{c_W} \epsilon' Z_{\mathcal{D}}^{\mu} J_{\mu}^Z$$

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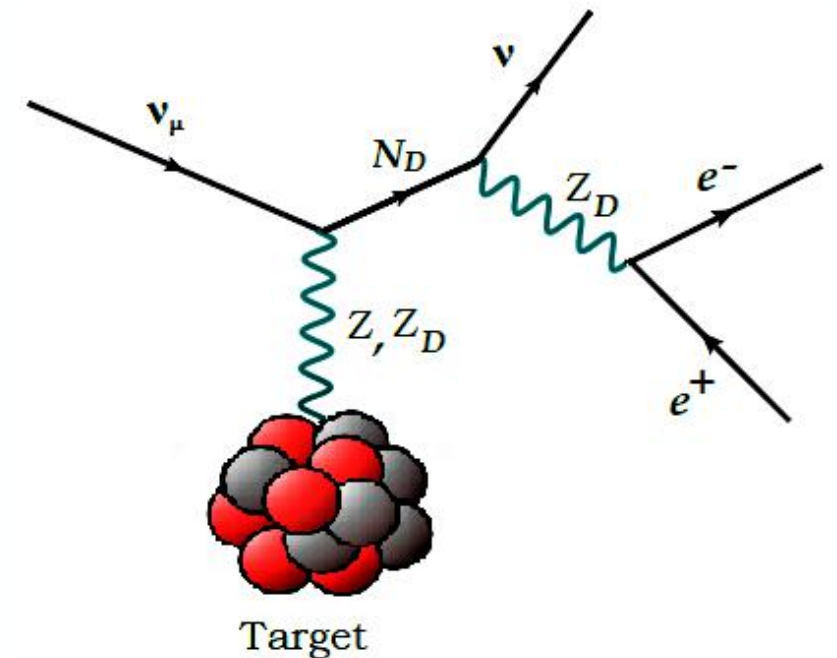
$$\nu_{\alpha} = \sum_{i=1}^3 U_{\alpha i} \nu_i + U_{\alpha 4} N_{\mathcal{D}}, \quad \alpha = e, \mu, \tau, \mathcal{D},$$

Beam neutrinos mix (not oscillate) to “dark”

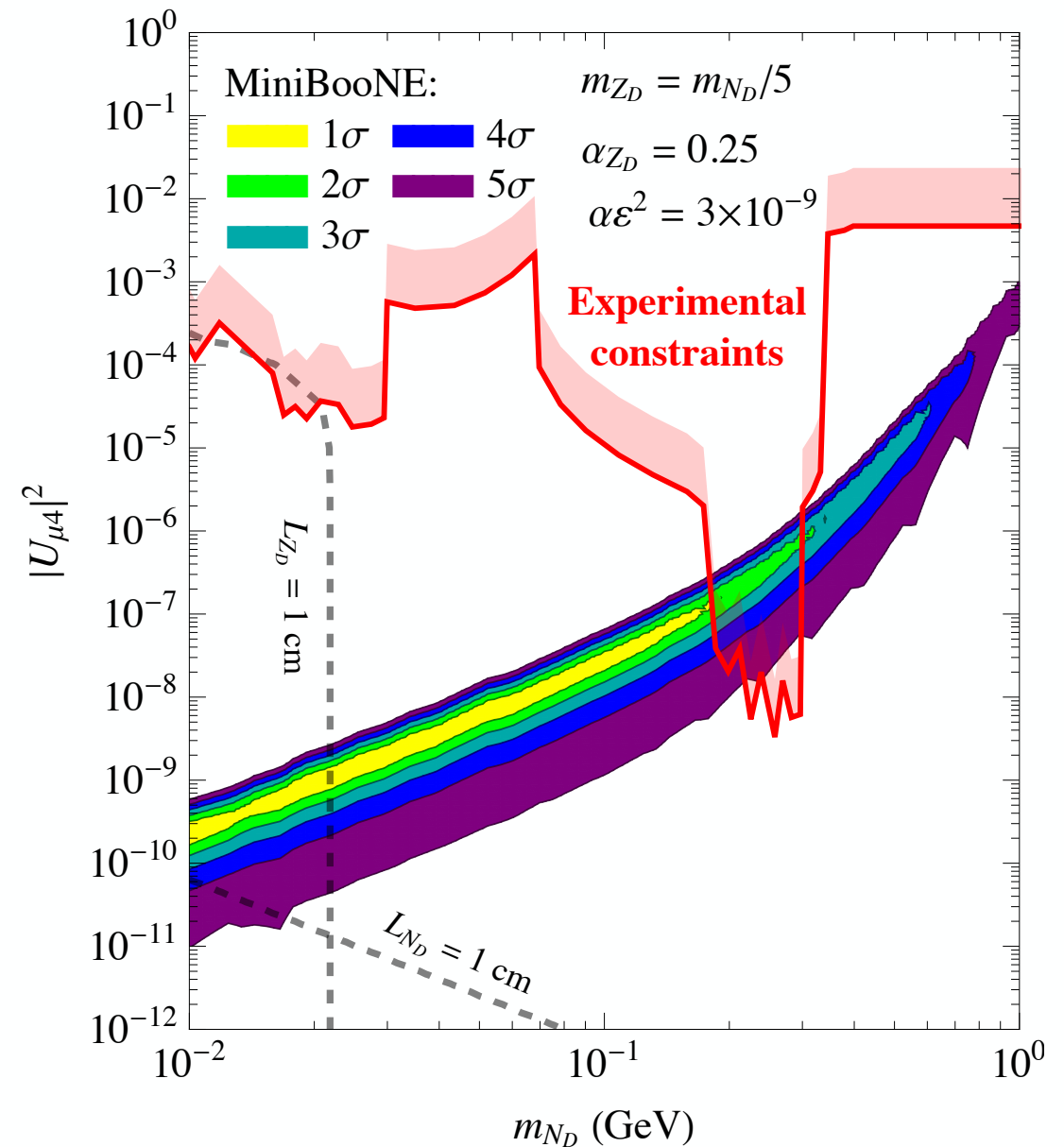
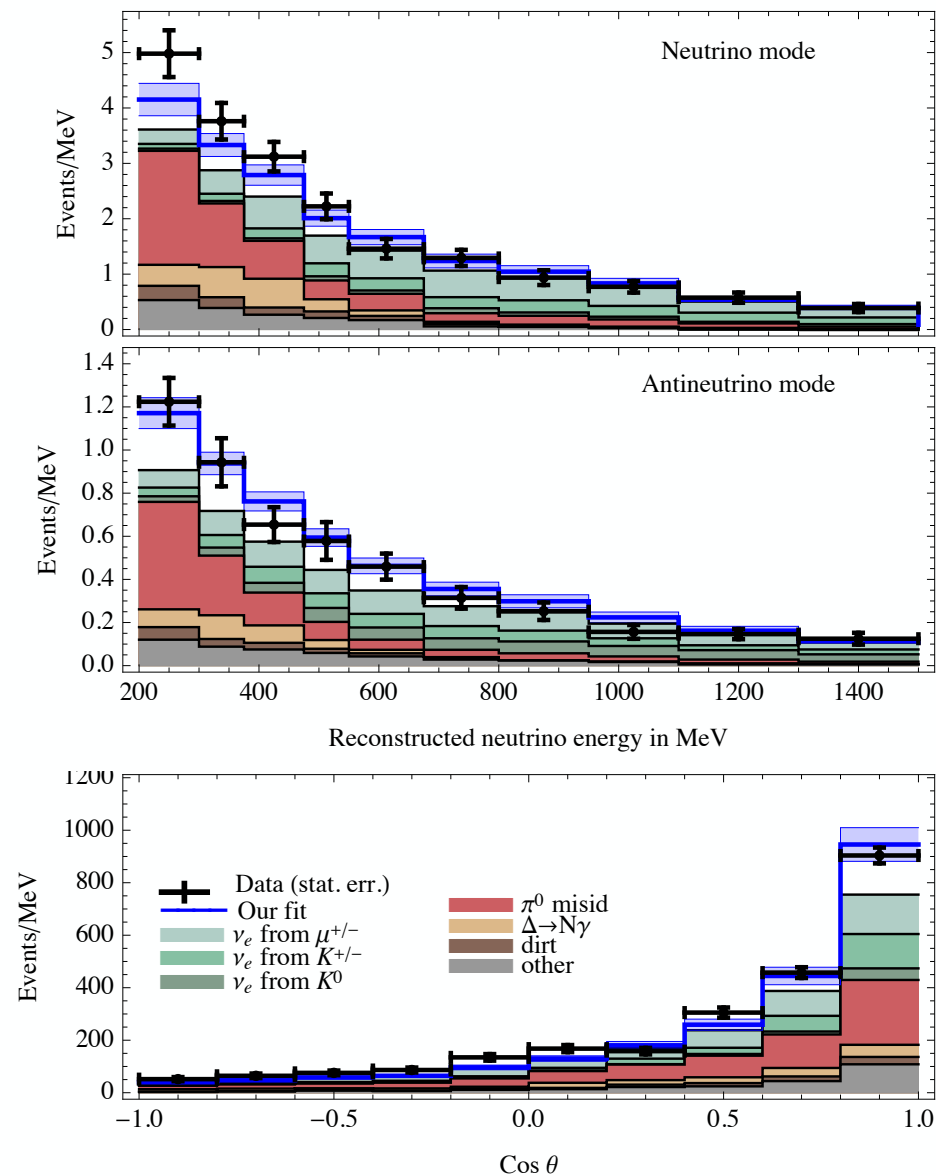
Scatter nuclei through kinetic mixing

Make dark neutrino

Decays emitting Z'



Dark Neutrino Portal



Pretty good fit! Beam dump search (published after) imposes borderline constraint. Model predicts \sim few events

Conclusions

4.8 sigma MB excess, oscillations interpretation disfavored

Tension w disappearance & cosmology

Simple non-neutrino models ruled out (model independent)

angular distribution $\left\{ \begin{array}{l} X \text{ decays all-visibly} \\ X \text{ scatters elastically} \end{array} \right.$

New Beam Dump DM search is powerful constraint

Kills all neutral meson + continuum production models

Surviving models need both

- 1) production from charged mesons (target)
- 2) inelastic scatter off nuclei (detector)

All will be tested with $\sim 10x$ existing beam dump data

Thanks!